



## **Local Government Act 1972**

**I Hereby Give You Notice** that an **Ordinary Meeting** of the **Durham County Council** will be held in the **Council Chamber, County Hall, Durham** on **Wednesday 22 March 2017 at 10.00 am.** to transact the following business:-

1. To confirm the minutes of the meeting held on 22 February 2017 (Pages 5 - 22)
2. To receive any declarations of interest from Members
3. Chairman's Announcements
4. Leader's Report
5. Questions from Area Action Partnerships
6. Questions from the Public
7. Petitions
8. Annual Review of the Constitution - Report of Head of Legal and Democratic Services (Pages 23 - 122)
9. Interim Arrangements for the Discharge of Functions for the period between the County Council Elections and the Reconstitution of Council Bodies - Report of Head of Legal and Democratic Services (Pages 123 - 126)
10. Motions on Notice

### ***Councillor L Hovvels to Move***

Durham is both rural and urban so securing good health and social care outcomes for the people of County Durham must be a critical objective for this Council.

This council therefore resolves to:

1. Write to lead officers for each Sustainability and Transformation Plan in County Durham seeking assurances with

regard to the formal consultation arrangements, decision making process and seeking confirmation from these lead officers that the people of County Durham will not be disadvantaged or experience any reductions in the availability of NHS services.

2. Seek further assurances from STP leads that the final plans will be clear and specific with regard to funding arrangements for the duration of the STPs and clarity on how this funding compares to other parts of the country.

### ***Councillor R Crute to Move***

Durham County Council views with concern the arrangement whereby the Treasury receives 50% of surpluses from the Mineworkers Pension Scheme, accumulating since 1994 to an estimated £3.4 billion, a sum taken from people and communities that are least able to afford it and one that is out of all realistic proportion to the risk taken by the guarantors.

The first priority of Durham County Council is the regeneration of the county and this includes ensuring the prosperity of its people and communities. The effect of the arrangement between the government and the trustees of the MPS and BCSSS as detailed above has a directly negative impact on the prosperity of pensioners, deferred pensioners and their families across the county. In turn this acts as a barrier to economic growth and prosperity in our communities.

Durham County Council acknowledges and is grateful for the significant contribution successive generations of Durham miners and their families made to the economy of County Durham, and in recognition supports the UK Miners' Pension Association in demanding justice and fairness on the issue of pension fund surpluses.

In compounding the unfairness of the current arrangement the government and British Coal failed to pay into the Mineworkers' Pension Fund by means of a contributions holiday from 1987 to 1994 resulting in a £4.7bn shortfall to the MPS. In addition successive governments have benefited excessively from the scheme by exploiting the 1994 pre-privatisation pension arrangements that resulted in an additional £4.2bn being taken from the investment surpluses.

As a consequence it is estimated that the government will eventually receive in excess of £8bn from the current arrangement (*figure taken from an Early Day Motion tabled in November 2016 by Alan Meale MP*).

Consequently this council resolves:

- to support the campaign of the UK Miners Pension Scheme Association (for Justice & Fair Play)
- to call on the Public Accounts Committee to carry out an investigation into the circumstances of the current

agreement between the government and pension scheme trustees

- to support the campaign to renegotiate the terms of the agreement between the government and trustees of the MPS to ensure a more realistic percentage share of surpluses which more accurately reflects the guarantors' risk
- to demand the return of all money owed to MPS members, deferred members and their families
- to submit correspondence supportive of the campaign to relevant government departments and Members of Parliament

11. Questions from Members

**And** pursuant to the provisions of the above-named act, **I Hereby Summon You** to attend the said meeting

Dated this 14th day of March 2017



Colette Longbottom  
Head of Legal and Democratic Services

**To: All Members of the County Council**

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**DURHAM COUNTY COUNCIL**

At an Ordinary Meeting of the County Council held in the Council Chamber, County Hall, Durham on **Wednesday 22 February 2017 at 10.00 am**

**Present:**

**Councillor E Bell (Chairman)**

Councillors E Adam, J Allen, J Alvey, B Armstrong, J Armstrong, B Avery, A Batey, D Bell, J Bell, R Bell, H Bennett, G Bleasdale, D Boyes, P Brookes, C Carr, J Carr, J Chaplow, J Clare, P Conway, K Corrigan, R Crute, K Davidson, M Davinson, M Dixon (Vice-Chairman), S Forster, N Foster, D Freeman, I Geldard, B Graham, J Gray, O Gunn, J Hart, T Henderson, S Henig, D Hicks, M Hodgson, L Hovvels, E Huntington, S Iveson, I Jewell, O Johnson, B Kellett, A Laing, P Lawton, J Lee, J Lethbridge, J Lindsay, A Liversidge, R Lumsdon, J Maitland, C Marshall, L Marshall, N Martin, J Maslin, P May, B Moir, S Morrison, A Napier, T Nearney, M Nicholls, H Nicholson, P Oliver, R Ormerod, A Patterson, T Pemberton, M Plews, C Potts, L Pounder, G Richardson, S Robinson, J Rowlandson, A Savory, K Shaw, J Shuttleworth, H Smith, T Smith, M Stanton, B Stephens, D Stoker, A Surtees, L Taylor, P Taylor, O Temple, K Thompson, F Tinsley, E Tomlinson, J Turnbull, A Turner, A Watson, M Wilkes, A Willis, C Wilson, S Wilson, R Yorke and R Young

Apologies for absence were received from Councillors A Bell, J Brown, J Charlton, J Clark, J Cordon, P Crathorne, C Hampson, K Henig, J Hillary, A Hopgood, K Hopper, H Liddle, O Milburn, J Robinson, A Shield, M Simmons and S Zair

Prior to the commencement of the meeting the Chairman of the Council with great sadness reported the following deaths:

- Former Wear Valley District Councillor Anne Newton who represented the Hunwick Ward on the Council and also served as Chairman of the Council. Anne was a role model for women but most of all, a front line fighter for people, their families and communities;
- Former Durham City Councillor, former Mayor and Alderman, Colin Beswick who was elected to the Council in July 1962, was Mayor of the City in 1970-71 and served for 30 years until May 2003.

The Council stood for a moments silence as a mark of respect.

**1 Minutes**

The minutes of the meeting held on 25 January 2017 were confirmed by the Council as a correct record and signed by the Chairman.

## **2 Declarations of Interest**

Councillor O Temple declared a personal but not prejudicial interest as a trustee of a youth project which was germane to the budget amendment he would be moving.

Councillor M Wilkes declared a personal but not prejudicial interest as a Member of a Community Association which received funding for youth projects and was germane to the budget amendment Councillor Temple would be moving.

## **3 Chairman's Announcements**

The Chairman informed Council that he would be using his discretion under rule 13.4 of the Council's Rules of Procedure to allow Group Leaders more than the allotted 5 minutes when discussing the budget.

The Chairman also proposed that the Council waived standing order 4.2(a) of the Council Procedure Rules to extend the time allowed for the length of the meeting, in the event that proceedings took longer than two and a half hours.

### **Resolved:**

That the proposal be approved.

The Chairman informed the Council he had two further announcements to make.

Firstly the Chairman was delighted to confirm that the Council had been successful in achieving two national awards from the Keep Britain Tidy Group.

The first award was for Environmental Campaign of the Year for "Operation Stop It" to combat fly-tipping. Working with partners, the Council had achieved a 22% fall in fly tipping, which was more than 3,000 fewer incidents, at a time when many other parts of the country had been increasing. Not only had this been done through tough enforcement, often using cameras to catch the culprits, but the Council's teams had also been promoting educational messages about duty of care for disposing of waste properly.

The second award was the prestigious Local Authority of the Year. This award recognised not only the hard work of staff in keeping towns and villages as clean as possible, which were over twice better than national averages, but also the wide range of community based initiatives from Big Spring Clean, which started the following week, to the Green Move Out for students to Northumbria in Bloom.

Teamwork had been the key to success with both awards and representatives from Clean and Green, Communications and Marketing, Recycling Officers, Ecology, Neighbourhood Wardens and Civic Pride were present. The Chairman presented each representative with certificates to mark these awards so they could be proudly displayed at each of the Council's depots and main offices.

Secondly, the Chairman informed Council that the Council currently employed around 30 Business Administration apprentices, who ultimately went on to find jobs either within the Council or elsewhere in the local community. The aim was to

equip them with a full range of well-developed employability skills and experiences to best prepare them for the world of work.

The Council had been fortunate enough to successfully bid for funding to support this ambition; allowing groups of apprentices to look at and experience Local Government service provision in another European country.

Because of local contacts through the Council's International Relations team and the prevalence of English language skills among many colleagues over there, the Council chose to work with the Gothenburg area of Sweden. The grant money allowed two groups of five apprentices to each undertake a two-week work placement in the Swedish Local Authority in September 2015 and October 2016.

The ten young people were able to experience first-hand a new country and culture. In all cases, their experiences provided essential evidence for the vocational NVQ qualification which the young people were studying towards as part of their apprenticeship.

The project was deemed successful and met all of its target objectives. The Chairman was pleased to report that a further funding bid had been submitted to extend this work for another two years. This time, the apprentices would be going to Tübingen, one of the Council's German partner areas.

The Chairman hoped that Members, Chief Officers and guests had a chance to speak with some of the apprentices who proved to be such fantastic ambassadors for the County Council and also County Durham as they arrived for the meeting this morning.

#### **4 Leader's Report**

The Leader of the Council reported that next month the new DLI Collection Gallery would open on Palace Green in the heart of Durham City. 'Courage, Comrades and Community' would bring the story of the Durham soldier to a wide audience, within the UNESCO World Heritage Site. The gallery, with modern interpretation boards and displays, would help engage audiences of all ages and would be free to visit.

The new gallery would complement the DLI Research and Study Centre which opened last year at Sevenhills near Durham Gate. This was set in a spacious location which allowed more items to be viewed from the collection than was the case previously, the research and study centre had already received in excess of 100 hours volunteering a week as well as many visitors able to access the collection to follow their research. Councillor Henig urged all Members to visit this excellent facility if they had not already done so.

The story of the DLI had also been brought to life in a series of exhibitions and events over the past year, including Somme 1916: From Durham to the Western Front, which brought over 30,000 visitors to Durham University's Palace Green Library. In addition at the Gala around 5,000 people were transported to the First

World War trenches and back again by what visitors described as an “incredible,” “superb” and “deeply moving” immersive experience, 1916: No Turning Back.

Many others turned out to see the specially commissioned Fighting Bradfords play, the Durham Hymns within the Cathedral and screenings of the Battle of the Somme film. Meanwhile educational sessions around the collection, experience and play had involved over 100 schools and more than 3,000 children, further widening access.

Councillor Henig thanked all of the partners involved, including the DLI Trustees, Friends and the University. It was thanks to their efforts that the story of the DLI had now reached more people than was the case in the previous year. The Council had been recognised by both the Arts Council and the Army Museums Ogilvy Trust as an example of innovative and sustainable approach to important collections.

Councillor Henig reported that Members were updated by email last week on the joint review of Teaching Assistant roles and responsibilities, being carried out by the Council, in conjunction with recognised trade unions. The review of Teaching Assistant roles, functions, job descriptions and activities was to establish whether current job descriptions adequately described and valued the requirements of the role.

A project team had been established to lead the review, consisting of council officers and recognised trade union representatives. This was supported by a Teaching Assistant Workstream and a Head Teacher Workstream.

The Teaching Assistant Workstream was made up of fifteen Teaching Assistants who worked across a variety of school settings. This group was considering the range of duties and responsibilities across the variety of Teaching Assistant roles. A Head Teacher Workstream would also support the Project Team and ensure the views of headteachers were incorporated as the review progressed.

The Council was committed to progressing the review as quickly as possible, considering all of the options available. As well as being directly involved in the review process, ultimately, all Teaching Assistants would be individually consulted on its outcome.

Further information would be shared with members as the review develops.

The Chief Officer Appointments Committee last week appointed Amanda Healy, who was Director of Public Health in South Tyneside, as Director of Public Health, a joint appointment made with Public Health England. Councillor Henig thanked Gill O’Neill who had been interim Director of Public Health for all of her work.

## **5 Questions from Area Action Partnerships**

Questions had been received from the 3 Towns Area Action Partnership and East Durham Area Action Partnership relating to the following:

- Investment in Wi-Fi access points in areas such as parks, town centres and communal spaces.
- The provision of Money Advice into schools or through other mechanisms that young people could access;
- Structure/support the Council could offer to the most vulnerable in communities following the capping of benefits and rolling out of Universal Credit.

Sandy Denney, 3 Towns AAP Coordinator and Shealagh Pearce, East Durham AAP Principal Coordinator were in attendance to ask their questions.

Councillor N Foster, Portfolio Holder for Economic Regeneration thanked the 3 Towns AAP and the East Durham AAP for their questions and provided a response to all questions.

## **6 Questions from the Public**

A question had been received from a Member of the Public regarding the Housing White Paper and how was it envisaged that its proposals on calculating housing demand and on Green Belt protection might alter current thinking on the County Plan, and when did the Council expect to be able to make public its “Preferred Options” for a final draft of the plan.

In the absence of the questioner, the Legal Manager, Governance and Elections informed the Council that a copy of the question together with the response would be placed on the Council’s website and a copy of the response would also be sent direct to the questioner.

## **7 Petitions**

There were no petitions for consideration.

## **8 Report from the Cabinet**

The Leader of the Council provided the Council with an update of business discussed by Cabinet at its meetings held on 18 January and 8 February 2017 (for copy see file of Minutes)

## **9 Budget 2017/18 - Report under Section 25 of the Local Government Act 2003**

The Council considered a report of the Corporate Director of Resources which provided information on the robustness of the estimates and the adequacy of reserves in the Council’s Budget for 2017/18 (for copy see file of Minutes).

### **Resolved:**

That the Council have regard to the statement when approving the budget and the level of Council Tax for 2017/18.

The Chairman reminded Council that under paragraph 16.6 of the Council Procedure Rules a recorded vote was required for the following two items and the voting record would be detailed in the minutes of the meeting.

**10 Medium Term Financial Plan 2017/18 to 2019/20 and Revenue and Capital Budget 2017/18**

The Council considered a report from Cabinet which detailed budget recommendations for the 2017/18 Revenue and Capital Budget and Medium Term Financial Plan (MTFP(7)) 2017/18 to 2019/20 (for copy see file of Minutes).

In **Moving** adoption of the Cabinet report, Councillor Henig made a statement on the Budget and Precept for 2017/18, summarised as follows:

The proposals for the Medium Term Financial Plan 2017/18 to 2019/20 and Revenue and Capital Budget 2017/18 to Council followed a budget process which had lasted several months and involved input from scrutiny members and several thousand County Durham residents.

The MTFP and budget was set against a background of seven years of austerity, with government funding cut relentlessly year after year. Reductions in grant for County Durham now exceeded £180 million and more cuts were still to come. It was also against a background where the government's own preferred measure, Core Spending Power, showed Durham receiving less than the England average in 2017/18 despite its greater needs in areas like adult social care. Durham would receive 20% less than affluent Surrey on this measure, which until a sudden unexplained u-turn, believed it needed a 15% increase in council tax to balance its budget.

Paul Johnson, Director of the Institute for Fiscal Studies had said that public finances in the next few years looked set to be defined by the spending cuts announced by George Osborne with cuts to day-to-day public service spending due to accelerate while the tax burden continued to rise. He had also pointed out that attempts to eliminate the deficit by spending cuts since 2010 were already the longest and deepest on record and speculated that austerity may continue into the mid-2020s.

Against this background of the longest and deepest cuts on record, the Council had approached forming its MTFP and budget using five key principles.

Firstly, the Council remained guided by one of the earliest decisions of the unitary council, which was to re-direct many of the savings made through the abolition of two tier local government and the large reduction in numbers of senior officers, into local community improvements. This ensured that every year, several million pounds were still spent at the grassroots, on communities across County Durham and using equal distribution as well where everyone received the same, whether Area Action Partnership budget or Neighbourhood Budget. Councillor Henig knew of no other council in the north of England which supported local communities on this scale and the Council could point to hundreds of local groups and community facilities which had benefited across all of County Durham.

Secondly, the Council continued to involve and engage the public in its budget consultation events in large numbers. In the autumn the Council took its budget consultation to meetings in every AAP area, as well as involving other local groups including young people. Feedback was taken by feedback forms, online and even in supermarkets. The Council went out across County Durham and asked, as we had done previously, what people's priorities were and what should be protected.

The public had responded in large numbers. More than three thousand people attended events during the consultation, with nearly 2,000 consultation forms submitted.

The Council had also asked how it was doing so far in making savings. One in six had marked the Council at less than five out of ten, in particular many of the online respondents. Nearly sixty per cent said they would mark the Council at six out of ten or higher. Furthermore, almost two thirds of respondents, 63%, stated that the Council's proposed approach to making future savings was a reasonable way to go forward in 2017/18.

Councillor Henig thanked the public of County Durham for their involvement in the budget consultation, which was invaluable in such difficult times of reducing budgets. The public's priorities were the council's priorities and it would do all it could to protect those services seen as essential by people across our communities.

A third principle of the approach to savings had been to prioritise savings from management, support services and efficiencies while protecting front line services. This was not without its consequences. It was currently forecast that by the end of 2017/18 the council would have reduced its number of posts by 2,674, a huge number and one which encompassed a vast amount of experience. As a comparison, this was ten times more than the number of staff who left during local government reorganisation in 2008-09.

However it was the right approach that the Council continued to protect the front line services prioritised by the public by taking as many savings as it could from efficiencies and restructures. Of the £36 million of further savings outlined in plans for 2017/18 and detailed in Appendix 4 of the report, the word 'restructure' appeared seven times and 'review' thirteen times as the Council did all it could to maintain essential services for as long as possible in the face of huge and ongoing grant reductions.

Recommendations in the report included approval of the 2017/18 Net Budget Requirement of £387.594M, a 1.99% 2017/18 Council Tax increase and a further 2% increase for the government's adult social care precept, totalling 3.99%. It was quite wrong for the government to pass the buck for growing adult social care needs onto councils and council tax payers and it was time that adult social care was funded properly. Even the maximum 3% increase in adult social care precept, set to be agreed by a majority of councils, would not solve what was a growing national crisis and in Durham it was proposed this increase was kept down to 2%.

A fourth priority within the Council's approach was that it would continue to support its Local Council Tax Reduction Scheme which ensured that many of the County's poorest residents received relief from council tax. Durham was one of only a small number of councils who continued to do this in the North East and nationally.

A fifth principle was to maintain a fully funded Capital Programme and the report included a 2017/18 capital budget of £109.744M and MTFP capital budget of £324.139M. This was set out and itemised in Appendix 9 of the report and was essential for County Durham, whether funding Town Centre masterplans, roads and highways or the new build primary school proposed for Bowburn.

In the face of relentless government austerity, the Council would stick to its principles. It would prioritise local communities, consult and engage with the public across County Durham and protect the front line for as long as it could. It would protect the poorest in society and also invest in the future. These were the principles put before council this morning.

In **Seconding** adoption of the Cabinet report Councillor Napier, Portfolio Holder for Finance informed the Council that it was charged with managing ongoing government cuts in funding against growing pressures on budgets.

To the end of the financial year the Council would have had to make savings of £186m as a result of ongoing government austerity and the Council needed to find a way of making these savings which had the least impact on communities.

It had been proven to be a myth that austerity would be short-term to balance the books and to get the budget into surplus by 2015, indeed the Institute for Fiscal Studies had suggested austerity had the potential to last for 15 years and the current Chancellor had stated there would not be a budget surplus before the mid-2020's.

The 2017/18 budget would continue to protect frontline services and the Council would be using £12.6m from the budget support reserve. Since 2011 the Council had used £70m of reserves. Some Members had called for reserves to be used year on year and if this had been done the Council would now be in a much worse position. The Council's policy had always been to plan ahead with a balanced approach and a prudent reserves policy.

Government policy was to push costs onto local people. The Council had no option than to charge a 2% Adult and Social Care precept but was not charging 3% which it had the option to do. Surrey had proposed a 15% increase which was then withdrawn. Surrey would be one of the pilots to retain 100% of business rates and for every 1% rise in Council Tax would receive £7m, whereas Durham only raised £2m.

Councillor Napier was proud that the Council had retained the Council Tax Reduction Scheme for 2017/18 and was only one of a handful of authorities to have done so.

Referring to the Capital Programme the Council would continue to invest in local community schemes.

Councillor Napier thanked Members and officers for their input to the budget process for 2017/18.

Councillor J Armstrong, Chairman of the Overview and Scrutiny Management Board informed Council that there had been four scrutiny meetings to consider the budget. The process had been hindered by the late confirmation of the final budget settlement, which had only just been announced. The Overview and Scrutiny Management Board had met on 13 February 2017 to consider the MTFP and Revenue and Capital Budget and had no further suggestions to share with the Council, other than to express concerns about ongoing austerity.

An amendment was **Moved** by Councillor R Bell, **Seconded** by T Henderson as follows:

The Council to make additional savings by:

- Stopping the publication of County Durham News from April 2017 but retaining the Events Guide and Guide to Services. This would produce an annual saving of £137,000.

The council to increase the budget on:

- Roadside gully cleaning through the engagement of an additional tanker at a cost of £159,000 a year

The £21,000 additional funding required to come from the Neighbourhood Services Cash Limit Reserve.

The increase in spending in Children's Services on Agency staff, had risen from £1,309,253 (2.45% of Employee Budget) in 2014 to £3,188,365 (5.44% of Employee Budget) in 2016, of which £370,580 was cover for long term sickness absence. This spending on Agency staff be reduced to £1m or below, a saving of £2,188,365 on Agency spend.

#### **For the Amendment**

Councillors R Bell, T Henderson, N Martin, R Ormerod, G Richardson, J Rowlandson, A Savory, J Shuttleworth, D Stoker, O Temple and A Willis

#### **Against the Amendment**

Councillors E Adam, J Allen, J Alvey, B Armstrong, J Armstrong, A Batey, D Bell, E Bell, J Bell, H Bennett, G Bleasdale, D Boyes, P Brookes, C Carr, J Carr, J Chaplow, J Clare, P Conway, K Corrigan, R Crute, K Davidson, M Davinson, M Dixon, S Forster, N Foster, I Geldard, B Graham, J Gray, O Gunn, J Hart, S Henig, D Hicks, L Hovvells, E Huntington, S Iveson, I Jewell, O Johnson, B Kellett, A Laing, P Lawton, J Lee, J Lethbridge, J Lindsay, A Liversidge, R Lumsdon, J Maitland, C Marshall, L Marshall, J Maslin, P May, B Moir, S Morrison, A Napier, T Nearney, M Nicholls, Nicholson, P Oliver, A Patterson, T Pemberton, M Plews, C Potts, L

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### **Abstention**

Councillors D Freeman and M Wilkes

The Amendment was **Lost**.

An amendment was **Moved** by Councillor J Shuttleworth, **Seconded** by Councillor A Savory as follows:

The Council to make additional savings by

Reducing staffing in communications and marketing (Approx 10+ posts)	320,000
Abolishing County Durham News	205,000
Extra use of Budget Support Reserve	1,513,500
<b>Total Savings</b>	<b>2,038,500</b>

These savings to provide funding for the following:

Reducing the Council Tax increase to 0.99%	1,880,000
Provide Annual Grant of £500 to 317 village halls and community associations across the county	158,500
<b>Total Costs 2017/18</b>	<b>2,038,500</b>

### **For the Amendment**

Councillors R Bell, T Henderson, D Hicks, C Marshall, N Martin, G Richardson, J Rowlandson, A Savory, J Shuttleworth, D Stoker, O Temple, M Wilkes and A Willis

### **Against the Amendment**

Councillors E Adam, J Allen, J Alvey, B Armstrong, J Armstrong, A Batey, D Bell, E Bell, J Bell, H Bennett, G Bleasdale, D Boyes, P Brookes, C Carr, J Carr, J Chaplow, J Clare, P Conway, K Corrigan, R Crute, K Davidson, M Davinson, M Dixon, S Forster, N Foster, D Freeman, I Geldard, B Graham, J Gray, O Gunn, J Hart, S Henig, L Hovvels, E Huntington, S Iveson, I Jewell, O Johnson, B Kellett, A Laing, P Lawton, J Lee, J Lethbridge, J Lindsay, A Liversidge, R Lumsdon, J Maitland, L Marshall, J Maslin, P May, B Moir, S Morrison, A Napier, T Nearney, M Nicholls, H Nicholson, P Oliver, R Ormerod, A Patterson, T Pemberton, M Plews, C Potts, L Pounder, S Robinson, K Shaw, H Smith, T Smith, M Stanton, B Stephens, A Surtees, L Taylor, P Taylor, K Thompson, F Tinsley, E Tomlinson, J Turnbull, A Turner, A Watson, C Wilson, R Yorke and R Young

The Amendment was **Lost**.

An amendment was **Moved** by Councillor O Temple, **Seconded** by Councillor M Wilkes as follows:

The Council's General Reserve for 2017/8, even after the proposal to apply the Newcastle Airport dividend to the capital budget, would be £1.405 million above the council's agreed policy of maintaining General Reserves between 5% and 7.5% of the Net Budget Requirement.

The following amendments were therefore proposed to the budget:

**Youth Work: £500,000**

The provision of a fund of **£250,000** a year for each of two years to enable the provision youth work sessions by local youth group/charities, to help them bridge the gap caused by the ending of universal youth work provision whilst they develop new and sustainable models of youth work delivery. This fund to be administered through Area Action Partnerships.

Cost: £500,000 from Excess General Reserves.

**Safer Communities: £500,000**

The provision of a fund of **£250,000** a year for each of two years to enable local communities desirous of introducing 20 mph zones for residential areas to bid for funds to do so. At the end of two years, Cabinet to review the initiative and decide whether it merits inclusion in the budget on an annual basis. This fund to be administered through Neighbourhood Services.

Cost: £500,000 from Excess General Reserves.

**Additional Road and Footpath repairs: £10 million**

An injection of an additional £10 million, over two years, to improve the quality of the county's roads and footpaths.

Cost: £0.32 million in the first year, £0.64 million in subsequent years.

These costs to be met from the Excess General Reserve in Year One, whilst steps are taken to reduce the Corporate News Team budget (currently £1.4 million) by £640K from 2018/19 to meet the ongoing costs of this proposal.

All figures in the budget to be amended consequentially in respect of these changes.

**For the Amendment**

Councillors R Bell, D Freeman, T Henderson, D Hicks, N Martin, P Oliver, R Ormerod, G Richardson, S Robinson, J Rowlandson, A Savory, J Shuttleworth, D Stoker, O Temple, K Thompson, A Watson, M Wilkes and A Willis.

**Against the Amendment**

Councillors E Adam, J Allen, J Alvey, B Armstrong, J Armstrong, A Batey, D Bell, E Bell, J Bell, H Bennett, G Bleasdale, D Boyes, P Brookes, C Carr, J Carr, J Chaplow, J Clare, P Conway, K Corrigan, R Crute, K Davidson, M Davinson, M Dixon, S Forster, N Foster, I Geldard, B Graham, J Gray, O Gunn, J Hart, S Henig, L Hovvells, E Huntington, S Iveson, I Jewell, O Johnson, B Kellett, A Laing, P

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The Amendment was **Lost**.

An amendment was **Moved** by Councillor O Temple, **Seconded** by Councillor M Wilkes:

That the Council Tax requirement be reduced by 1.99%, this to be funded by a transfer of reserves.

#### **For the Amendment**

Councillors R Bell, D Freeman, T Henderson, N Martin, R Ormerod, G Richardson, J Rowlandson, O Temple and M Wilkes.

#### **Against the Amendment**

Councillors E Adam, J Allen, J Alvey, B Armstrong, J Armstrong, A Batey, E Bell, J Bell, H Bennett, G Bleasdale, C Carr, J Carr, J Chaplow, J Clare, P Conway, K Corrigan, R Crute, K Davidson, M Davinson, M Dixon, S Forster, N Foster, I Geldard, B Graham, J Gray, O Gunn, J Hart, S Henig, L Hovvels, E Huntington, S Iveson, I Jewell, O Johnson, B Kellett, A Laing, P Lawton, J Lindsay, A Liversidge, R Lumsdon, J Maitland, C Marshall, L Marshall, P May, B Moir, S Morrison, A Napier, T Nearney, M Nicholls, H Nicholson, A Patterson, T Pemberton, M Plews, C Potts, L Pounder, A Savory, K Shaw, J Shuttleworth, H Smith, T Smith, M Stanton, B Stephens, A Surtees, L Taylor, P Taylor, F Tinsley, E Tomlinson, J Turnbull, A Turner, A Willis, R Yorke and R Young,

#### **Abstention**

Councillor K Thompson

The Amendment was **Lost**.

A vote was then taken on the main Motion which was the recommendations contained within the report.

#### **For the Motion**

Councillors E Adam, J Allen, J Alvey, B Armstrong, J Armstrong, A Batey, E Bell, J Bell, H Bennett, G Bleasdale, C Carr, J Carr, J Chaplow, J Clare, P Conway, K Corrigan, R Crute, K Davidson, M Davinson, M Dixon, S Forster, N Foster, I Geldard, B Graham, J Gray, O Gunn, J Hart, S Henig, L Hovvels, E Huntington, S Iveson, I Jewell, O Johnson, B Kellett, A Laing, P Lawton, J Lethbridge, J Lindsay, A Liversidge, R Lumsdon, J Maitland, C Marshall, L Marshall, P May, B Moir, S Morrison, A Napier, T Nearney, M Nicholls, H Nicholson, A Patterson, T Pemberton, M Plews, L Pounder, K Shaw, H Smith, T Smith, M Stanton, B Stephens, A Surtees, L Taylor, P Taylor, K Thompson, F Tinsley, E Tomlinson, J Turnbull, A Turner, A Willis and R Young.

**Against**

Councillors R Bell, D Freeman, T Henderson, N Martin, R Ormerod, G Richardson, J Rowlandson, Savory, Shuttleworth and Temple.

The Motion was **Carried**.

**Resolved:**

That the report and its recommendations be adopted in full.

**11 Council Tax Setting in Order to Meet the County Council's Council Tax Requirement for 2017/18**

The Council considered a report from Cabinet which detailed the information to calculate and set the Council Tax for the Council's area for 2017/18 (for copy see file of Minutes).

**Moved** by Councillor Henig, **Seconded** by Councillor Napier that the report of Cabinet and its recommendations be adopted, and with it the setting of of the Council Tax.

**For the Motion**

Councillors E Adam, J Allen, J Alvey, B Armstrong, J Armstrong, A Batey, E Bell, J Bell, H Bennett, C Carr, J Carr, J Chaplow, J Clare, P Conway, K Corrigan, R Crute, K Davidson, M Davinson, M Dixon, N Foster, I Geldard, B Graham, J Gray, O Gunn, J Hart, T Henderson, S Henig, L Hovvells, E Huntington, S Iveson, I Jewell, O Johnson, B Kellett, A Laing, P Lawton, J Lethbridge, J Lindsay, R Lumsdon, J Maitland, C Marshall, L Marshall, P May, B Moir, A Napier, T Nearney, H Nicholson, T Pemberton, M Plews, L Pounder, G Richardson, J Rowlandson, A Savory, K Shaw, J Shuttleworth, H Smith, B Stephens, A Surtees, L Taylor, P Taylor, K Thompson, F Tinsley, E Tomlinson, J Turnbull, A Turner and R Young.

**Against**

Councillors D Freeman, N Martin, R Ormerod, O Temple and A Willis

**Resolved:**

That the following be adopted:

- (a) It be noted that on 16 November 2016 the Cabinet calculated the Council Tax Base 2017/18;
  - (i) for the whole Council area as 135,620.9 band D equivalent properties [Item T in the formula in Section 31B of the Local Government Finance Act 1992 (as amended) and;
  - (ii) for dwellings in those parts of its area to which a Parish precept relates as in the attached Appendix 3;

- (b) The Council Tax Requirement for the Council's own purposes for 2017/18 (excluding Parish precepts and the Charter Trustees for the City of Durham) is £195,706,384;
- (c) Agree the following amounts in accordance with Sections 30 to 36 of the Act:
- (i) being the aggregate of the gross expenditure which the Council estimates for the items set out in Section 31A(2) of the Act taking into account all precepts issued to it by Parish Councils is £1,199,193,278;
  - (ii) being the aggregate of the gross income which the Council estimates for the items set out in Section 31A(3) of the Act is £991,635,655;
  - (iii) being the amount by which the aggregate at (c) i) above exceeds the aggregate at (c) ii) above in accordance with Section 31A(4) of the Act as its Council Tax requirement for the year. (Item R in the formula in Section 31B of the Act) is £207,557,623;
  - (iv) being the amount at (c) iii) above (Item R), all divided by Item T ((a) i) above), in accordance with Section 31B of the Act as the basic amount of its Council Tax at Band D for the year (including Parish precepts) is £1,530.43;
  - (v) being the aggregate amount of all special items referred to in Section 34 (1) of the Act: (total of all Parish precepts including Charter Trustees) is £11,851,239;
  - (vi) being the amount at (c) iv) above less the result given by dividing the amount at (c) v) above by Item T ((a) i) above), in accordance with Section 34(2) of the Act, as the basic amount of its Council Tax at Band D for the year for dwellings in those parts of its area to which no Parish precept relates is £1,443.04.
- (d) It be noted that for 2017/18 County Durham and Darlington Fire and Rescue Authority has recommended the following amounts will be in the precept issued to the County Council, in accordance with Section 40 of the Act, as shown in the table below:

#### **COUNTY DURHAM AND DARLINGTON FIRE AND RESCUE AUTHORITY**

<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>	<b>G</b>	<b>H</b>
<b>£</b>							
65.10	75.95	86.80	97.65	119.35	141.05	162.75	195.30

- (e) It be noted that for 2017/18 Durham Police and Crime Commissioner has recommended that the following amounts will be in the precept issued to the County Council, in accordance with Section 40 of the Act, as shown in the table below:

## DURHAM POLICE AND CRIME COMMISSIONER

A	B	C	D	E	F	G	H
£	£	£	£	£	£	£	£
112.83	131.63	150.44	169.24	206.85	244.46	282.07	338.48

- (f) That the Council, in accordance with Sections 30 and 36 of the Local Government Finance Act 1992 (as amended), hereby sets the aggregate amounts shown in the tables below as the amounts of Council Tax for 2017/18 for each part of its area and for each of the categories of dwellings;

## DURHAM COUNTY COUNCIL

A	B	C	D	E	F	G	H
£	£	£	£	£	£	£	£
925.74	1,080.02	1,234.31	1,388.60	1,697.18	2,005.75	2,314.34	2,777.20

## DURHAM COUNTY COUNCIL – ADULT SOCIAL CARE

A	B	C	D	E	F	G	H
£	£	£	£	£	£	£	£
36.29	42.34	48.39	54.44	66.54	78.64	90.73	108.88

## AGGREGATE OF COUNCIL TAX REQUIREMENTS (excluding Parish, Town Council and Charter Trustees)

A	B	C	D	E	F	G	H
£	£	£	£	£	£	£	£
1,139.96	1,329.94	1,519.94	1,709.93	2,089.92	2,469.90	2,849.89	3,419.86

- (g) The Council has determined that its relevant basic amount of Council Tax for 2017/18 is not excessive in accordance with principles approved under Section 52ZB Local Government Finance Act 1992 (as amended) and that the increase in Council Tax is not excessive in accordance with the principles approved under Section 52ZC Local Government Finance Act 1992 (as amended);
- (h) As the billing authority, the Council has not been notified by County Durham and Darlington Fire and Rescue Authority and Durham Police and Crime Commissioner, as major precepting authorities, that their relevant basic amount of Council Tax for 2017/18 is excessive and that the billing authority is not required to hold a referendum in accordance with Section 52ZK Local Government Finance Act 1992 (as amended);
- (i) The County Council, in accordance with Section 11A (3) of the Act sets a 0% discount for Second and Empty Furnished Homes;
- (j) The County Council, in accordance with Section 11A (4A) of the Act sets a 0% discount for dwellings defined in Classes C or D;

- (k) The County Council, in accordance with Section 11B (1b) of the Act sets a 150% premium for Long Term Empty Homes for 2015/16;
- (l) The Chief Executive be instructed to publish a notice in accordance with Section 38 (2) of the Act, relating to the amounts of council tax set;
- (m) The Chief Executive be instructed to publish a notice in accordance with Section 11A (6) and 11B (6) of the Act, relating to the discount set.

**12 Local Government Pension Scheme - Asset Pooling through the Border to Coast Pensions Partnership**

The Council considered a report of the Corporate Director of Resources which recommended an approach which ensured that the Council as Administering Authority of the Durham County Council Pension Fund ('the Durham Pension Fund'), part of the Local Government Pension Scheme (LGPS), could meet the regulatory requirement for pension fund asset pooling by participating in the Border to Coast Pensions Partnership ("BCPP") and to authorise consequential changes to the constitution in respect of the operation of the Council's Pensions Committee (for copy see file of Minutes).

**Moved** by Councillor M Davinson, **Seconded** by Councillor N Martin and

**Resolved:**

That the recommendations contained in the report be approved.

**13 Elected Member Disclosure and Barring Service (DBS)**

The Council considered a report of the Corporate Director of Resources regarding a recommendation by the Constitution Working Group to review the process of DBS checking for elected Members (for copy see file of Minutes).

**Moved** by Councillor Henig, **Seconded** by Councillor Temple and

**Resolved:**

That the recommendation be approved.

**14 Statutory Appointments - Returning Officer and Monitoring Officer**

The Council considered report of the Corporate Director of Resources regarding the need to make two statutory appointments arising from the intended retirement of the Head of Legal and Democratic Services (for copy see file of Minutes).

**Moved** by Councillor Henig, **Seconded** by Councillor Napier and

**Resolved:**

That the recommendations contained in the report be approved.

**15 Decision to opt in to the National Scheme for Auditor Appointments**

The Council considered a report of the Corporate Director of Resources regarding proposals for appointing the external auditor to the Council for the 2018/19 accounts and beyond (for copy see file of Minutes).

**Moved** by Councillor Napier, **Seconded** by Councillor Henig and

**Resolved:**

That the recommendation contained in the report be approved.

**16 Motions on Notice**

There were no Motions on Notice.

**17 Questions from Members**

There were no questions from Members.

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## County Council

22 March 2017



## Annual Review of the Constitution

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### Report of Corporate Management Team Colette Longbottom, Head of Legal and Democratic Services Councillor Simon Henig, Leader of the Council

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#### Purpose of the Report

- 1 To present proposals for the revision of the Council's constitution.

#### Background

- 2 In accordance with the Local Government Act 2000, the County Council adopted the new constitution for the Unitary Authority from 1 April 2009. Although legislation has been amended by the Localism Act 2011, a constitution is still required. An annual review of the constitution is carried out each year by the Monitoring Officer to ensure that it remains accurate and up-to-date.
- 3 All amendments to the Constitution which have been approved by full Council since last year's annual review have been incorporated into the Constitution. An updated version is maintained on the Council's website and the intranet. A summary of the amendments made since the last annual review in May 2016 is set out below:
  - (a) an amendment to Part 3, C, Table 1 Paragraph 8 (General Delegations) to remove the restriction on Chief Officers and Heads of Service to only authorise officers within their Directorate to exercise powers delegated to them;
  - (b) adoption of new officer schemes of delegation in Part 3, C to reflect the restructure of the Council's Corporate Management Team. Updated schemes of delegation for Adults and Health Services, Children and Young People's Services and a new scheme of delegation for Regeneration and Local Services have been incorporated into the constitution.
- 4 The Monitoring Officer has now carried out her annual review of the constitution which includes a review of the delegations to the Chief Officers who have been consulted and asked to submit any amendments.

#### Proposed Revisions

- 5 To amend the terms of reference in Article 6 – Overview and Scrutiny arrangements to increase the number of church representatives from 2 to 4 for both the Overview and Scrutiny Management Board and Children and Young People's Scrutiny Committee. The overall number of 5 voting Church and Parent Governor Representatives on each committee will increase from 5 to 7. This follows a request from the Church representatives to ensure that at least one representative

from each of the two faiths is able to attend meetings on the basis that substitutes cannot be appointed. The changes are shown highlighted set out in Appendices 6 and 7.

- 6 To amend Article 11 – Officers main functions and areas of responsibility to reflect the changes to Corporate Management Team. An updated Article 11 is set out in Appendix 2.
- 7 To amend Article 16 – The Health and Wellbeing Board to reflect the restructure of Corporate Management Team, the addition of nominated representatives to the Board and that the Board sign off the Better Care Fund plan. An updated Article 16 with the changes shown highlighted is set out in Appendix 3.
- 8 To amend the officer scheme of delegations for the reasons set out below (Part 3, C, tables 1-7). The proposed amendments are set out in Appendix 4, Schedules 1-7 and are shown highlighted except for Schedule 5 which shows the proposed delegations at Schedule 5a and the existing delegations at Schedule 5b:
  - (a) a new delegation to all Chief Officers relating to the exercise of the Council's rights in relation to Council affiliated companies (Schedule 1);
  - (b) a change to the title of the Assistant Chief Executive to reflect the restructure of Corporate Management Team (Schedule 2);
  - (c) changes to the delegations of the Corporate Director of Adult and Health Services to reflect current working practices, to reflect changes to legislation and to clarify certain matters (Schedule 3);
  - (d) changes to the delegations of the Corporate Director of Children and Young People's Services to reflect current working practices, and to clarify certain matters (Schedule 4);
  - (e) changes to the delegations of the Corporate Director of Regeneration and Local Services to reflect current working practices, changes to legislation and to clarify certain matters (Schedule 5a and Schedule 5b);
  - (f) changes to the delegations of the Corporate Director of Resources to reflect current working practices (Schedule 6).
- 9 To amend the Contract Procedure Rules (CPR) as set out in Appendix 5 to reflect some minor changes including to direct payments; fees payable to the police; an exemption for independent planning inspectors appointed via the NPIERS scheme set up by Government, and administered by RICS; an exemption for exam fees payable to a qualification awarding body; new clause to cover Contracts Finder advertising requirements; additional clause to cover late tenders / submissions; and an amendment to refer to the new Government Standard Selection Questionnaire.
- 10 The revisions proposed above were approved by Constitution Working Group on 11 January 2017, and Cabinet on 8 February 2017 approved the scheme of delegations and agreed to recommend to Council the other revisions.

- 11 It is proposed to combine the work of the Overview and Scrutiny Management Board (OSMB) and Corporate Issues Overview and Scrutiny Committee (CIOSC) into one committee called Corporate Overview and Scrutiny Management Board (COSMB) meeting at least six times per year, with one Chair and Vice-chair. COSMB will work alongside the other five thematic scrutiny committees, to meet the emerging transformation programme and the ongoing requirement for Medium Term Financial Plan (MTFP) savings. An amended Article 6 reflecting these changes is set out in Appendix 6. Other minor consequential amendments will be required to the constitution to reflect the combination of the committees.
- 12 As part of improvement work in children's services, a review of the political oversight arrangements has taken place, working with the Cabinet Member for Children and Young People, and Chairs of Corporate Parenting Panel, Overview and Scrutiny Management Board and Children and Young People's Overview and Scrutiny Committee. The focus of the discussion was that in response to the OFSTED report, there is a need to see the interrelationship between different parts of political leadership: Cabinet, Corporate Parenting and Scrutiny, and how each element challenges and influences service delivery.
- 13 Recommendations supported by Constitution Working Group (CWG) are that the interrelationship between Corporate Parenting, Scrutiny and Cabinet should be strengthened by formalising the representation of Scrutiny and Cabinet on the Corporate Parenting Panel (CPP). Similarly the role of Scrutiny should be strengthened by formalising the representation of Corporate Parenting on the Children and Young People's Scrutiny Committee, so that issues of concern regarding strategy and systems that affect children who we parent can easily be raised by CPP with Scrutiny. In addition, CPP should have a wider strategic remit and a reduced membership, in line with benchmarking evidence and scrutiny committee current membership. The proposed changes to Scrutiny and CPP are set out in Appendices 7 and 8. In addition, CWG recommended that an annual report by Corporate Parenting Panel be prepared and shared with cabinet, scrutiny and council, reflecting the high importance this council places on its role as a corporate parent.
- 14 A minor amendment is also required to the member role description for CPP members contained in Part 9 of the Constitution, to reflect that Regulation 33 independent visits have been replaced by Regulation 44.
- 15 These proposed revisions outlined in paragraphs 11 to 14 were approved by Constitution Working Group on 13 February 2017.

## **Recommendations and Reasons**

- 16 Council is asked to:
- (a) note the delegation of executive powers as set out in the officer scheme of delegations;
  - (b) agree the proposed revisions to the Constitution set out in paragraphs 5 to 15 of this report, including the delegations to Chief Officers, and authorise the Head of Legal and Democratic Services to present the constitution for approval to the new council;

- (c) authorise the Head of Legal and Democratic Services, following consultation with Constitution Working Group, to make future changes to the Constitution to reflect decisions of the Council or a Council body or to comply with legal requirements.

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<b>Contact:</b>	<b>Ros Layfield</b>	<b>03000 269708</b>
	<b>Clare Burrows</b>	<b>03000 260 548</b>

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## **Appendix 1: Implications**

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**Finance:** None specific within this report.

**Staffing:** None specific within this report.

**Risk:** None specific within this report.

**Equality and Diversity/Public Sector Equality Duty:** Provision will be made for the constitution to be made available on a variety of formats and languages.

**Accommodation:** None specific within this report.

**Crime and Disorder:** None specific within this report.

**Human Rights:** None specific within this report.

**Consultation:** Consultation with Chief Officers has taken place in relation to the proposed revisions.

**Procurement:** None specific within this report.

**Disability Issues:** None specific within this report.

**Legal Implications:** The Council must maintain an up-to-date constitution to mitigate risk in the event of legal challenge.

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## Appendix 2: Article 11 – Officers

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### 11.01 Management structure

- (a) **General.** The Council may engage such staff (referred to as officers) as it considers necessary to carry out its functions.
- (b) **Chief Officers.** The Council will engage persons for the following posts, who will be designated Chief Officers:

Post	Main Functions and areas of responsibility
Chief Executive	<ul style="list-style-type: none"> <li>• Overall corporate management and operational responsibility (including overall management responsibility for all officers)</li> <li>• Principal adviser to the Council on general policy</li> <li>• Overall responsibility for delivering the Council's policies and programmes</li> <li>• Provision of professional advice to all parties in the decision-making process</li> </ul>
Director of Transformation and Partnerships	<ul style="list-style-type: none"> <li>• Communications</li> <li>• Corporate Policy, Planning and Improvement</li> <li>• Community Engagement, Community Development and Area Action Partnerships</li> <li>• Equalities, Diversity and Cohesion</li> <li>• Performance Management and Research Information</li> <li>• Partnership Co-ordination and support for the County Local Strategic Partnership</li> <li>• Overview and Scrutiny</li> <li>• Civil Contingencies</li> <li>• Archives and Records</li> </ul>
Corporate Director of Adult and Health Services	<p>Adult Care Services including:</p> <ul style="list-style-type: none"> <li>• In-House Care Provision</li> <li>• Integrated Social Work/Health Teams</li> <li>• Adult Protection</li> <li>• Carers</li> </ul> <p>Mental Health, Learning Disability, People with a Disability</p> <ul style="list-style-type: none"> <li>• Sensory Support</li> <li>• Personalisation</li> <li>• Substance Misuse</li> </ul> <p>Commissioning Services Community Safety Public Health</p>

Post	Main Functions and areas of responsibility
Corporate Director of Adult and Health Services (continued)	<ul style="list-style-type: none"> <li>• Environment Protection including Pollution Control, Contaminated Land, Air Quality Management, Public Health and Private Sector Housing</li> <li>• Health Protection including Food Hygiene and Standards, Animal Health and Welfare, Infectious Disease Control, Safety and Occupational Health, Health and Safety (Employees)</li> <li>• Consumer Protection including Fair Trading, Safety and Metrology, Licensing and Markets</li> </ul>
Corporate Director of Children and Young People's Services	<p>Children's Care Services including:</p> <ul style="list-style-type: none"> <li>• First contact – Multi agency safeguarding hub/EDT</li> <li>• One Point Service</li> <li>• Think family Services</li> <li>• Youth Offending Service</li> <li>• Corporate Parenting</li> <li>• Disability services</li> <li>• Children in Need</li> <li>• Child Protection</li> <li>• Local Authority Designated Officer</li> <li>• Aycliffe Secure services</li> <li>• Children's services reforms</li> <li>• Community Support Service</li> <li>• Children's homes</li> <li>• Looked After Services</li> <li>• Fostering</li> <li>• Adoption</li> </ul> <p>Education services including:</p> <ul style="list-style-type: none"> <li>• Pupil Place Planning</li> <li>• School organisation</li> <li>• School admission</li> <li>• Home to school transport entitlement</li> <li>• Elective home education</li> <li>• Education safeguarding</li> <li>• School attendance</li> <li>• Progression and Learning <ul style="list-style-type: none"> <li>○ Education Business Partnership</li> <li>○ Preparing for Adulthood Team</li> <li>○ Careers Education, Information</li> <li>○ Advice and Guidance for Young People</li> <li>○ Adult Learning and Skills Service</li> </ul> </li> </ul>

Post	Main Functions and areas of responsibility
Corporate Director of Children and Young People's Services (continued)	<ul style="list-style-type: none"> <li>• Support and Development               <ul style="list-style-type: none"> <li>○ School and Governor support</li> <li>○ Education Development services</li> <li>○ Music service</li> <li>○ DCC Nurseries</li> <li>○ Early Years Team</li> <li>○ Durham Learning Resources</li> </ul> </li> <li>• Statutory Casework</li> <li>• Special Education Needs and Disability (SEND) and Inclusion</li> <li>• SEND Information Advice and Support Service</li> <li>• SEND Support               <ul style="list-style-type: none"> <li>○ Education Psychology</li> <li>○ Cognition and learning</li> <li>○ Social and emotional mental health</li> <li>○ Communication and interaction</li> <li>○ Sensory and physical</li> </ul> </li> <li>• Equalities and Interventions               <ul style="list-style-type: none"> <li>○ English as an Additional Language</li> <li>○ Education Equalities</li> </ul> </li> </ul> Education Health Needs (Home and Hospital)
Corporate Director of Regeneration and Local Services	<ul style="list-style-type: none"> <li>• Assets, Estates and Property Management</li> <li>• Building Control</li> <li>• Building Design including: Architecture and Building Surveying, Mechanical and Electrical, Asbestos Management</li> <li>• Building Facilities and Management</li> <li>• Business Services (Internal)</li> <li>• CCTV</li> <li>• Clean and Green including: Street Cleansing, Public Realm/ Open Space Maintenance, Parks and Grounds</li> <li>• Corporate Complaints</li> <li>• Conservation, Archaeology and Ecology</li> <li>• Construction Programme and Project Management including: Programme and Project Management, Quantity Surveying and Accounts, Construction and Design Management (CDM)</li> <li>• Countryside and Rights of Way</li> <li>• County Durham Development Company</li> <li>• County Fleet</li> <li>• Culture and Sport Growing and learning including: Libraries, Museums and Collections, Heritage and Visual Arts</li> </ul>

Post	Main Functions and areas of responsibility
Corporate Director of Regeneration and Local Services (continued)	<ul style="list-style-type: none"> <li>• Culture and Sport Improvement and Development, Marketing, Funding and Commissioning, Service and System Improvement and Co- ordination</li> <li>• Culture and Sport Localities including: Libraries, Museum, Theatres and Leisure Centres</li> <li>• Culture and Sport Place and Experience including: Theatre, Performing Arts, Festivals and Events</li> <li>• Culture and Sport Wellbeing including: Sport, Fitness, Physical Activity, Aquatics, Culture and Sport Projects and Transitions</li> <li>• Parks and Countryside</li> <li>• Customer Services</li> <li>• Dangerous Structures</li> <li>• Durham City Homes</li> <li>• Economic Development</li> <li>• Economic Policy and Programmes</li> <li>• Economic Regeneration</li> <li>• Employability</li> <li>• Environment Policy</li> <li>• External Funding and Programmes</li> <li>• Highway Services including: Highway Design, Construction and Maintenance, Winter Maintenance</li> <li>• Housing Renewal and Improvement</li> <li>• Housing Strategy and Policy</li> <li>• Integrated Transport Unit</li> <li>• Internal Affairs</li> <li>• Local Transport Planning</li> <li>• Mineral and Waste Applications</li> <li>• Neighbourhood Protection including: Pest Control, Civic Pride, Dog Control, Neighbourhood Wardens, Anti-Social Behaviour, Bereavement Services, Allotments and Unauthorised Encampments</li> <li>• Parking Policy, Control and Enforcement</li> <li>• Performance Management</li> <li>• Planning Applications and Appeals</li> <li>• Policy, Performance and Communications</li> <li>• Prevention &amp; Resolution of Homelessness</li> <li>• Projects</li> <li>• Project Design, Development and Delivery</li> </ul>

Post	Main Functions and areas of responsibility
Corporate Director of Regeneration and Local Services (continued)	<ul style="list-style-type: none"> <li>• Refuse and Recycling including: Waste Transfer Stations</li> <li>• Strategic Business Service Development and Support</li> <li>• Strategic Highways including: Drainage and Coastal Protection, Network Management, Client, Policy and Asset Management, Road Safety</li> <li>• Strategic Spatial and Planning Policy</li> <li>• Strategic Tourism</li> <li>• Strategic Traffic Management; Network Management</li> <li>• Strategic Waste including: Waste Contract and Management Strategy</li> <li>• Sustainability &amp; Climate Change</li> </ul>
Corporate Director of Resources	<ul style="list-style-type: none"> <li>• Financial Management</li> <li>• Council Tax Collection &amp; Housing Benefits (Revenues &amp; Benefits)</li> <li>• Legal Services</li> <li>• Corporate Procurement</li> <li>• Human Resources &amp; Organisational Development</li> <li>• Information &amp; Communication Technology</li> <li>• Democratic Services</li> <li>• Electoral Services</li> <li>• Members' Services</li> <li>• Land Charges</li> <li>• Registration of Births, Deaths &amp; Marriages</li> <li>• Coroners</li> </ul>

(c) **Head of Paid Service, Monitoring Officer, Chief Finance Officer and Scrutiny Officer.** The Council will designate the following posts as shown:

Post	Designation
Chief Executive	Head of Paid Service
Corporate Director of Resources	Chief Finance Officer
Head of Legal & Democratic Services	Monitoring Officer
Head of Planning and Performance, Director of Transformation and Partnerships	Scrutiny Officer
Director of Public Health	Director of Public Health

Such posts will have the functions described in Article 11.02 – 11.06 below.

## 11.02 Functions of the Head of Paid Service

- (a) **Discharge of functions by the Council.** The Head of Paid Service will report to full Council on the manner in which the discharge of the Council's functions is co-ordinated, the number and grade of officers required for the discharge of functions and the organisation of officers.
- (b) **Restrictions on functions.** The Head of Paid Service may not be the Monitoring Officer but may hold the post of Chief Finance Officer if a qualified accountant.

## 11.03 Functions of the Monitoring Officer

- (a) **Maintaining the Constitution.** The Monitoring Officer will maintain an up-to-date version of the Constitution and will ensure that it is widely available for consultation by members, staff and the public.
- (b) **Ensuring lawfulness and fairness of decision making.** After consulting with the Head of Paid Service and Chief Finance Officer, the Monitoring Officer will report to the full Council or to the Executive in relation to an executive function if he considers that any proposal, decision or omission would give rise to unlawfulness or if any decision or omission has given rise to maladministration. Such a report will have the effect of stopping the proposal or decision being implemented until the report has been considered.
- (c) **Supporting the Standards Committee.** The Monitoring Officer will contribute to the promotion and maintenance of high standards of conduct through provision of support to the Standards Committee.
- (d) **Receiving reports.** The Monitoring Officer will receive and act on reports made by ethical standards officers and decisions of the case tribunals.
- (e) **Conducting investigations.** The Monitoring Officer will oversee investigations into complaints made under the Code of Conduct and, where appropriate, make reports or recommendations in respect of them to the Standards Committee.
- (f) **Proper Officer for access to information.** The Monitoring Officer will ensure that executive decisions, together with the reasons for those decisions and relevant officer reports and background papers are made publicly available as soon as possible.
- (g) **Advising whether executive decisions are within the budget and policy framework.** The Monitoring Officer will advise whether decisions of the Executive are in accordance with the budget and policy framework, and whether any particular decision or proposed decision constitutes a key decision.
- (h) **Providing advice.** The Monitoring Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and budget and policy framework issues to all

councillors and will support and advise councillors and officers in their respective roles.

- (i) **Restrictions on posts.** The Monitoring Officer cannot be the Chief Finance Officer or the Head of Paid Service.
- (j) **Protocol.** In carrying out his functions the Monitoring Officer will observe such protocols as shall from time to time be approved by the Standards Committee.

#### 11.04 Functions of the Chief Finance Officer

- (a) **Ensuring lawfulness and financial prudence of decision making.** After consulting with the Head of Paid Service and the Monitoring Officer, the Chief Finance Officer will report to the full Council or to the Executive in relation to an executive function and the Council's external auditor if he considers that any proposal, decision or course of action will involve incurring unlawful expenditure, or is unlawful and is likely to cause a loss or deficiency or if the Council is about to enter an item of account unlawfully.
- (b) **Administration of financial affairs.** The Chief Finance Officer will have responsibility for the administration of the financial affairs of the Council.
- (c) **Contributing to corporate management.** The Chief Finance Officer will contribute to the corporate management of the Council, in particular through the provision of professional financial advice.
- (d) **Providing advice.** The Chief Finance Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and budget and policy framework issues to all councillors and will support and advise councillors and officers in their respective roles.
- (e) **Give financial information.** The Chief Finance Officer will provide financial information to the media, members of the public and the community.

#### 11.05 Functions of the Scrutiny Officer

- (a) **Promotion of the role of the Overview and Scrutiny Management Board and its Committees** – The Scrutiny Officer will promote the role of the Overview and Scrutiny Management Board and its Committees.
- (b) **Support to Committees** – The Scrutiny Officer will provide support to the Overview and Scrutiny Management Board and its Committees, and to the Members of the Board and its Committees.
- (c) **Support and Guidance** – The Scrutiny Officer will provide support to members of the Authority, members of the Executive, and Officers of the Authority, in relation to the functions of the Overview and Scrutiny Management Board and its Committees.

- (d) **Restrictions on Posts** – The Scrutiny Officer cannot be Head of Paid Service, Monitoring Officer, or Chief Financial Officer.

#### 11.06 **Functions of the Director of Public Health**

- (a) **Contributing to the Health and Wellbeing Board.** The DPH will advise on and contribute to the development of the joint strategic needs assessments and joint health and wellbeing strategies and commission appropriate services accordingly.
- (b) **Management of the Council’s Public Health Services.** The DPH will have professional responsibility and accountability for the effectiveness and value for money of the Council’s Public Health Services.
- (c) **Production of the annual report on the health of the local population.** The DPH will write the annual report on the health of the local population which the Council has a duty to publish.
- (d) **Responding as a responsible authority under the Licensing Act 2003.** The DPH will make representations about licensing applications.
- (e) **Management of the Council’s ring-fenced public health grant.** The DPH will ensure that commissioned services meet the health needs of the population, are cost effective and that monitoring returns are accurate and timely.
- (f) **Providing Leadership, Expertise and Advice.** The DPH will provide leadership, expertise and advice to Senior Officers and Elected Members on a range of public health issues, from outbreaks of disease and emergency preparedness through to improving local people’s health and concerns around access to health services.

#### 11.07 **Duty to provide sufficient resources to the Monitoring Officer and Chief Finance Officer**

The Council will provide the Monitoring Officer and Chief Finance Officer with such officers, accommodation and other resources as are in their opinion sufficient to allow their duties to be performed.

#### 11.08 **Conduct**

Officers will comply with the Officers’ Code of Conduct and the Protocol on Officer/Member Relations set out in Part 5 of this Constitution.

#### 11.09 **Employment**

The recruitment, selection and dismissal of officers will comply with the Officer Employment Rules set out in Part 4 of this Constitution.

**16.01 Health and Wellbeing Board**

The Council establish a Health and Wellbeing Board.

**16.02 Composition**

**The composition of the Health and Wellbeing Board is as follows:**

- Representatives nominated by the Leader (being currently:
  - Portfolio Holder for Adult and Health Services;
  - Portfolio Holder for Safer Communities;
  - Portfolio Holder for Children and Young People’s Services);
- Representation from each Clinical Commissioning Group;
- A representative from Local Healthwatch;
- Corporate Director of Adult and Health Services;
- Corporate Director of Children and Young People’s Services;
- Director of Public Health;
- Director of Integration ( a joint representative of Durham County Council, and Health partners).

**and nominated representation from each of the following:-**

- Chief Executive of Tees Esk and Wear Valley NHS Foundation Trust;
- Chief Executive of County Durham and Darlington Foundation Trust;
- Chief Executive of City Hospitals Sunderland NHS Foundation Trust;
- Chief Executive of North Tees and Hartlepool NHS Foundation Trust;
- Chief Executive of Harrogate and District NHS Foundation Trust;
- Office of Police, Crime and Victims Commissioner.

**16.03 Role and Function**

The Health and Wellbeing Board will have the following roles and functions:-

- Promote integrated working between commissioners of health services, public health and social care services, for the purposes of advancing the health and wellbeing of the people in its area;
- Encourage those who provide services related to wider determinants of health, such as housing, to work closely with the Health and Wellbeing Board;
- Develop and agree the Joint Strategic Needs Assessment (“JSNA”), Joint Health and Wellbeing Strategy (“JHWS”) and Pharmaceutical Needs Assessment (“PNA”);
- Be involved throughout the process as CCGs develop their commissioning plans and ensure they take proper account of the JHWS when developing these plans; and
- Provide advice and assistance or other support as it thinks appropriate for the purposes of encouraging the making of arrangements under Section 75 of the National Health Service Act 2006.
- Sign off the Better Care Fund plan.

**Schedule 1**  
**Table 1****General Delegations to all Chief Officers**

1. The Chief Officers referred to in this scheme of delegations are those set out in Article 11 of this Constitution.
2. Chief Officers are empowered to carry out those specific functions of the Council delegated to them in the scheme of delegation. In doing so Chief Officers are expected to follow principles of decision making in Article 12 of the Constitution.
3. Functions are to be construed in a broad and inclusive fashion and include the doing of anything which is calculated to facilitate or is conducive or incidental to the discharge of any of the specified functions.
4. In exercising their delegated powers Chief Officers may:-
  - (a) Incur, vary and withdraw expenditure including the making of contributions to outside bodies whose objects are complementary to the work of the Council.
  - (b) In consultation, where appropriate, with the relevant Cabinet Portfolio Member, introduce, set and vary as necessary fees and charges for the delivery of services and for the issue of any licence, registration, permit, consent or approval.
  - (c) Deal with the following employment issues in accordance with the Council's procedures:
    - (i) engage, suspend, dismiss and deploy staff up to and including Head of Service level;
    - (ii) take disciplinary action against staff up to but excluding appeals against dismissal;
    - (iii) apply conditions of service in accordance with the Council's policies;
    - (iv) permanently regrade posts up to and including Head of Service level with the approval of the Corporate Director Resources.
    - (v) make establishment changes up to and including Head of Service level;
    - (vi) deal with applications for regrading up to and including final appeal, ensuring that the person hearing the final appeal has had no previous involvement in the matter;
  - (d) Deploy other resources within their control.

- (e) Within service budgets, accept tenders, place contracts and procure other resources within or outside the Council subject to compliance with the Council's Financial and Contract Procedure Rules.
- (f) Terminate contractual and other commissioning arrangements.
- (g) Serve, receive and act upon notices, give or refuse consents, issue determinations, apply for permissions, make orders, grant licences in the exercise of any discretionary power or in complying with any duty of the Council.
- (h) Exercise virement within the financial limits contained in the Financial Procedure Rules.
- (i) Provide services to other local authorities and organisations.
- (j) Respond to consultations from Government departments and other public bodies.
- (k) Request the Head of Legal and Democratic Services to enforce any bye-laws relevant to their service area.
- (l) Exercise the Council's rights in any company or other form of corporate body of which the Council is a shareholder or member, unless alternative arrangements are specified in the relevant body's articles of association, other governing instrument, any shareholders' agreement or any specified delegations in relation to particular bodies.

5. In exercising their delegated powers Chief Officers must act within the law, the Council's Constitution, its Financial and Contract Procedure Rules and other procedures and policies and within appropriate service budgets.

6. In exercising their delegated powers the Chief Officers will:

- (a) Follow the procedures and guidance set out in the Guidance on the Exercising and Recording of Delegated Powers issued by the Monitoring Officer.
- (b) Consult the relevant Cabinet Portfolio Member as appropriate.
- (c) Consult any appropriate Chief Officer or relevant Head of Service, in particular where there are significant financial, legal, property or HR implications of the proposed action or decision.
- (d) Make the record available to:
  - (i) the public, provided this does not mean the release of confidential or exempt information; and
  - (ii) any Member of the Council provided this does not involve the release of confidential or exempt information to which the Member is not entitled.

- (iii) the Chief Executive and Head of Legal and Democratic Services.

7. Each Chief Officer shall act as the designated Proper Officer for the Government Act 1972:
  - (a) To identify which background papers disclose facts on which a particular report or an important part of the report is based (Section 100(d)(v)(a) of the Act).
  - (b) To prepare a list of background papers and to make arrangements for production of copies of background papers (Section 100(d)(i)(a) and (b) of the Act) (such delegation relates to the Chief Officer(s) in whose name(s) the report is prepared).
8. Chief Officers and Heads of Service may authorise officers to exercise powers delegated to them. Chief Officers and Heads of Service must maintain proper records of such authorisation. The Chief Officer or Head of Service shall remain accountable for any action or decisions taken under that authority.
9. For the avoidance of doubt, any authorisations made by Chief Officers to officers in force immediately prior to the adoption of this Constitution shall continue in force and any action taken thereunder shall remain valid unless and until it is superseded by either further such delegation or authorisation, or any action taken pursuant to later delegation.
10. In the event of a Chief Officer post being vacant, or in the absence of a Chief Officer, those delegated powers may be exercised by the relevant Heads of Service so far as permitted by law.
11. Where this Constitution permits the exercise of delegated powers by Heads of Service, such exercise is subject to the same restrictions and requirements as are applicable to Chief Officers.
12. Where it is impracticable to obtain authorisation from the Council body with responsibility for the function and subject to the action being reported (for information) to the next convenient meeting of that body, to take urgent action within legal powers where this is necessary in the interests of the Council, in respect of matters otherwise reserved to the Executive or some other Council body.

**The following matters are, in addition, delegated to all Heads of Service:**

13. Determining or resolving corporate complaints including the ability to make compensatory payments.

## **Schedule 2**

### **Table 2**

#### **Delegations to the Chief Executive**

1. To act as Head of the Paid Service under Section 4 of the Local Government and Housing Act 1989.
2. To guide and, where appropriate, direct chief officers in the exercise of their delegated functions in order to achieve the overall corporate aims and objectives of the Council.
3. (a) To carry out any executive function which is delegated to a particular chief officer in consultation with the relevant Cabinet Portfolio Member  
  
(b) To carry out any executive function of a corporate nature in consultation where appropriate with the Leader and Deputy Leader.
4. To grant exemptions on the political restriction of officer posts under the Localism Act 2011.

The following matters are, in addition, delegated to the **Director of Transformation and Partnerships**:

5. In consultation where appropriate with the relevant Cabinet Portfolio Member, to discharge executive functions in relation to:
  - Communications
  - Corporate Policy, Planning and Improvement
  - Community Engagement/Area Action Partnerships
  - Community Development
  - Community Buildings
  - Emergency Planning and Civil Contingencies
  - Equalities, Diversity and Cohesion
  - Government Migration Programmes
  - Performance Management and Research
  - Partnership Co-ordination and support for the County Durham Partnership
  - The county record office and the county archives
  - The Voluntary Sector and Local Councils
6. To oversee the management of the Council's Overview and Scrutiny functions.
7. To authorise the expenditure of Neighbourhood and Area budgets approved by the Council in consultation with the relevant Area Action Partnership Board and the appropriate local Member(s).
8. To designate suitably qualified officers as Authorising Officers for the purpose of granting authorisations to exercise the powers made available to the Council by the Regulation of Investigatory Powers Act, 2000.
9. To oversee the Council's responsibility under information governance legislation.

**Schedule 3**  
**Table 3**

**Delegations to the Corporate Director of Adult and Health Services**

**1. Executive Functions**

Subject to the requirement set out below and in compliance with the provisions of Table 1 above, the Corporate Director of Adult and Health Services, is authorised to discharge any function of the Executive in relation to:

1.1 The exercise of the Council's powers and duties in relation to the provision of social services so far as those functions relate to:

- Adults; or
- Carers, or:
- Prisoners and/or people in approved premises within County Durham.

1.2 The exercise of the Council's powers and duties in relation to Environment, Health and Consumer Protection.

1.3 Functions exercisable on behalf of an NHS body so far as it relates to adults.

1.4 Implementing or determining, subject to any right of review or appeal which may apply, all matters concerning the provision of mental health services including applications to displace nearest relatives and appointment as nearest relative and the acceptance and exercise of guardianships under the Mental Health Act 1983.

1.5 To formally approve and authorise Mental Health Professionals who are eligible and qualified to be considered as Approved Mental Health Practitioners.

1.6 Implementing or determining, subject to any right of review or appeal which may apply, all matters concerning the provision of services provided under the Mental Capacity Act 2005 including appointment as Deputy and applications to the Court of Protection and undertaking Deprivation of Liberty Safeguard authorisations (DoLS).

1.7 Implementing or determining, subject to any right of review or appeal which may apply, all matters concerning the statutory and corporate complaints procedure.

1.8 Setting and varying rates for providers of all forms of social care and housing support in consultation with the Corporate Director of Resources.

1.9 Setting and varying fees and charges for delivery of services.

1.10 Undertaking joint collaborative action as appropriate with the National Health Service and other partner organisations for the planning and provision of jointly operated services within the County, including the development of Cooperation Agreements and Partnerships Agreements for joint working arrangements.

1.11 Protection and promotion of the welfare of vulnerable adults including vulnerable young people moving into adulthood.

1.12 To meet the requirements as set down by regulatory bodies in relation to the operation of services within Adult and Health Services.

1.13 The provision, operation, commissioning and variation of payments to external providers in respect of services arranged by the Corporate Director of Adult and Health Services.

~~1.14 The provision, operation and commissioning of services in relation to Adult Learning.~~

1.14 Under the Care Act 2014 to discharge any functions in relation to the social care duties of the Council including:

- To provide information and advice about care and support services for adults and carers.
- To provide or arrange access to preventative services promoting wellbeing and independence.
- Ensuring that the needs of people continue to be met if their care provider becomes unable to carry on providing care because of business failure.
- Carrying out an assessment and applying national eligibility criteria for anyone who appears to require care and support, including carers who may need support.
- To provide a personal budget to anyone with 'eligible needs'.
- To make enquiries into concerns of abuse and neglect requiring the co-operation of partner agencies and led multi agency safeguarding investigations

1.15 Ensuring that the local authority has a panel of persons in place for its area (known as Channel), as required under the Counter Terrorism and Security Act 2015. The function of this panel is to assess the extent to which identified individuals are vulnerable to being drawn into terrorism and to develop a support plan for that individual.

In carrying out these delegated functions, the Corporate Director will agree arrangements for consultation with the relevant Cabinet Portfolio Member(s) and will refer to the Executive for consideration and decision those matters directed by the said Member(s).

## **2. Specific Delegations**

2.1 To coordinate the Council's response to Section 17 of the Crime and Disorder Act 1998 and ensure the crime and disorder implications of the Council's decisions are properly considered.

2.2 To provide support and advice to statutory partnerships in community safety/crime and disorder.

2.3 To meet the requirements as set down by regulatory bodies in relation to the employment, registration and training of all registrable staff.

2.4 To advise and contribute to the preparation of the Joint Strategic Needs Assessment and Joint Health & Wellbeing Strategy in conjunction with the Director of Public Health and local Clinical Commissioning Groups.

2.5 To work in collaboration with partners in the development of the Safe Durham Partnership Strategic Assessment on behalf of the Safe Durham Partnership and to chair the Safe Durham Partnership.

2.6 To lead and coordinate the local Safeguarding Adults Board in accordance with legislation and guidance.

2.7 in conjunction with the Corporate Director of Resources sign off the Better Care Fund.

In carrying out these delegated functions, the Corporate Director will agree arrangements for consultation with the relevant Cabinet Portfolio Member(s) and will refer to the Executive for consideration and decision those matters directed by the said Member(s).

3. The matters set out below are, in addition, **delegated to the Director of Public Health:**

3.1 To take responsibility for the management of the Council's Public Health Services, with professional responsibility and accountability for their effectiveness, availability and value for money.

3.2 To contribute to and influence the work of the NHS Commissioners, ensuring a whole system approach across the public sector and ensuring NHS commissioners receive the public health advice they need.

3.3 To provide leadership, expertise and advice to Senior Officers and Elected Members on a range of issues from outbreaks of disease and emergency preparedness through to improving local people's health concerns around access to health services.

3.4 To provide the public with expert, objective advice on health matters.

3.5 To promote action across the life course, working together with council colleagues such as the Director of Children and Adults Services and with NHS colleagues.

3.6 To work with local Criminal Justice Partners and Police and Crime Commissioners to promote safer communities, including cooperating with the police, the probation service and the prison service to assess the risk posed by violent or sexual offenders.

3.7 Under the NHS Act 2006 and the Health & Social Care Act 2012, to discharge any functions in relation to the corporate public health duties of the Council including responsibility for writing the annual report on the health of the local population. (The Council has a duty to Publish this report under section 73B (5) & (6) of the 2006 Act and Section 31 of the 2012 Act).

3.8 Under Section 73A (1) of the 2006 Act inserted by section 30 of the 2012 Act;

- To undertake duties to take steps to improve public health;
- To undertake such other public health protection or health improvement functions that the Secretary of State delegates to Local Authorities either by arrangement or under regulations- these include services mandated by regulations under Section 6C of the 2006 Act Inserted by section 18 of the 2012 Act;
- To respond as a responsible authority under the Licensing Act 2003, such as making representations about licensing applications;
- To promote Healthy Start Vitamins (under the Healthy Start and Welfare Food Regulations 2005 ) for maternity or child health clinics;
- To consult and make decisions on Fluoridation Schemes.

3.9 Under section 6C of the NHS Act 2006:

- To ensure appropriate access to sexual health services

- To take responsibility for the National Child Measurement Programme
- To take responsibility for the NHS Health Check Assessment

3.10 To act as either as lead or supporting Director of Public Health chairing or co-chairing the Local Health Resilience Partnership (LHRP) for County Durham, Darlington and Tees Valley.

3.11 To oversee the NHS screening programmes, both cancer and noncancer, ante-natal and children's screening programmes, ensuring the health of the population is adequately protected and raising issues and concerns appropriately.

3.12 To oversee the NHS immunisation programmes including children 0-5 years, HPV and seasonal flu and any other that the Secretary of State instructs, including catch up programmes, raising issues and concerns appropriately.

3.13 To discharge the Council's responsibilities in relation to communicable and infectious diseases, including healthcare acquired infections.

3.14 Implementing or determining, subject to any rights of review or appeal which may apply, all matters concerning the Statutory Public Health complaints procedure.

3.15 Setting, varying and recovering charges in respect of certain steps taken in the exercise of health improvement duties.

3.16 To advise and contribute to the preparation of the Pharmaceutical Needs Assessment

3.17 The commissioning of services in relation to 0-5 children's public health.

4. The matters set out below are, in addition, **delegated to the Head of Environment, Health and Consumer Protection**

4.1 To exercise all of the Council's functions including licensing, registration, making of orders, issue of notices and enforcement in respect of the following matters referred to in Schedule 1 to the Local Authorities (Functions and Responsibilities) (England) 2000 Regulations:

- a. Performances of hypnotism;
- b. Premises for acupuncture, tattooing, ear piercing and electrolysis;
- c. Pleasure boats and pleasure vessels;
- d. Night cafes and take-away food shops;
- e. Sale of non-medicinal poisons;
- f. Premises for the preparation of food including registration;
- g. Scrap yards;
- h. Pet shops and the breeding and boarding of dogs or other animals;
- i. Animal trainers;
- j. Knackers' yards;
- k. Charitable collections;
- l. Operation of loudspeakers;
- m. Street works licences;
- n. Movement and sale of cattle and pigs;
- o. Storage of celluloid;
- p. Meat, fish, dairy and egg product establishments and butchers' shops;

- q. Motor salvage operations;
- r. Health and safety at work;
- s. Smoke-free premises and vehicles;
- t. Caravan and camping sites and moveable dwellings.

4.2 To exercise the Council's functions in relation to:

- a. The control of pollution and the management of air quality;
- b. Statutory nuisances, as referred to in Schedule 2 to the Local Authorities (Functions and Responsibilities) (England) 2000 Regulations;
- c. Contaminated land;
- d. Port health.

4.3 To exercise, in consultation with the Head of the Legal and Democratic Services the Council's licensing, approval, registration and enforcement functions, including the institution of legal proceedings under legislation set out in Appendix 1 to this Table and all associated secondary legislation as amended from time to time.

4.4 In consultation with the Head of Legal and Democratic Services to review and update the list of relevant legislation contained within Appendix 1 to this Table to reflect new or modified statutory provisions.

4.5 Subject to Appendices 2, 3 and 4 to this Table to authorise, suspend, vary, transfer, extend or revoke permits, licences, certificates, registrations and approvals issued under the legislation set out in the Appendix 1 to this Table including all relevant statutory provisions.

4.6 To issue simple and conditional cautions to persons guilty of criminal offences under the legislation referred to in Appendix 1 and in accordance with PACE and the Home Office Guidance.

4.7 To authorise suitably qualified and competent staff within the Environment, Health and Consumer Protection Division and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the legislation referred to in Appendix 1.

4.8 To authorise another local authority to institute legal proceedings in respect of a contravention of the legislation set out in Appendix 1 to this Table where related contraventions are being investigated by that authority.

4.9 To grant authorisations in relation to illegal money lending and unfair trading practices.

4.10 Power to agree transfers and assignments under health and safety legislation to change Enforcing Authority responsibilities.

4.11 Power to appoint Proper Officers and alternate Proper Officers for the Authority for matters relating to Public Health, Port Health and the Control of Infectious Disease.

4.12 Power to appoint Public Analyst, Agricultural Analyst and Food Examiner for the Council.

4.13 To authorise Officers to institute and or defend on behalf of the Council any legal proceedings which the Council by itself, or by a duly empowered Committee, may decide to take. In this respect, nominated staff are hereby authorised to appear in Court in person, or to be represented by a duly appointed officer of the Council in accordance with

Section 223 of the Local government Act 1972 or to be represented by a duly instructed solicitor.

4.14 To determine those applications and matters in relation to Licensing and Gambling as are referred to in Appendices 2, 3 and 4 to this Table.

4.15 To agree terms of conditions and licences in accordance with published best practice and/or guidance.

### Appendix 1 to Table 3

<b>Environment Health Consumer Protection Legislative Framework</b>
Administration of Justice Act 1970
Agriculture Act 1970
Animal Welfare Act 2006
Animal Boarding Establishments Acts 1963
Animal By Products (Enforcement) (England) Regulations 2013
Animal Feed (England) Regulations 2010
The Animal Feed (Composition, Marketing and Use) (England) Regulations 2015
The Animal Feed (Hygiene, Sampling etc and Enforcement) (England) Regulations 2015
Animal Health Act 1981
Animal Welfare Act 2006
Animals and Animal Products (Examination for residues and Maximum Residue Limits) Regulations 1997
Anti-Social Behaviour Act 2003
Anti-Social Behaviour, Crime and Policing Act 2014
Avian Influenza (Preventative Measures) (England) Regulations 2006
Avian Influenza (Vaccination) (England) Regulations 2006
Bluetongue Regulations 2008
Breeding and Sale of Dogs (Welfare) Act 1999
Breeding of Dogs Act 1973,1991
Building Act 1984
Caravan Sites Act 1968
Caravan Sites and Control of Development Act 1960
Cattle Identification Regulations 2007
Children and Families Act 2014
Children and Young Persons Act 1933
Children and Young Persons (Protection from Tobacco) Act 1991
Clean Air Act 1993
Clean Neighbourhoods and Environment Act 2005
Companies Act 1985 and 2006
Consumer Rights Act 2015
Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013
Consumer Credit Act 1974
Consumer Protection (Distance Selling) Regulations 2000
Consumer Protection Act 1987
Consumer Protection from Unfair Trading Regulations 2008
Contaminants in Food (England) Regulations (as amended) 2013
Control of Pollution (Amendment) Act 1989
Control of Pollution Act 1974

<b>Environment Health Consumer Protection Legislative Framework</b>
Copyright, Design and Patents Act 1988
Courts and Legal Services Act 1990
Criminal Justice Act 1988
Crime and Disorder Act 1998
Criminal Justice and Police Act 2001
Criminal Justice and Public Order Act 1994
Dangerous Substances and Explosive Atmospheres Regulations 2002
Dangerous Wild Animals Act 1976
Deregulation Act 2015
Development of Tourism Act 1969
Education Reform Act 1988
Eggs and Chicks (England) Regulations 2009
Employment of Women, Young Persons and Children Act 1920
Energy Act 1976, 2013
Enterprise Act 2002
Environment Act 1995
Environmental Damage ( Prevention and Remediation ) Regulations 2009
Environmental Protection Act 1990
Estate Agents Act 1979
European Communities Act 1972
Explosive Act 1875
Explosives (Age of Purchase etc.) Act 1976
Fair Trading Act 1973
Farm and Garden Chemicals Act 1967
Food Act 1984
Food and Environment Protection Act 1985
Food Safety Act 1990
Food Safety and Hygiene (England) Regulations 2013
Fraud Act 2006
Gambling Act 2005
Genetically Modified Organisms (Traceability and Labelling) (England) Regulations 2004
Hallmarking Act 1973
Health Act 2006, 2009
Health and Safety at Work etc. Act 1974
Highways Act 1980
House to House Collections Act 1939
Housing Act 2004
Housing Grants, Construction and Regeneration Act 1996
Hypnotism Act 1952
International Health Regulations 2005

<b>Environment Health Consumer Protection Legislative Framework</b>
Intoxicating Substances (Supply) Act 1985
Land Drainage Act 1976,1991,1994
Legislative and Regulatory Reform Act 2006
Licensing Act 2003
Local Authorities Goods and Services Act 1970
Local Government (Miscellaneous Provisions) Act 1976 ,1982
Local Government (Review of Decisions) Act 2015
Local Government Act 1972, 1974
Localism Act 2011
Materials and Articles in Contact with Food (England) Regulations 2012
Medicines Act 1968
Micro chipping of dogs (England) Regulations 2015
Mines and Quarries Act 1954
Mobile Homes Act 2013
Motor Cycle Noise Act 1987
Motor Salvage Operators Regulations 2002
National Assistance Act 1948
Noise Act 1996
Noise and Statutory Nuisance Act 1993
The Official Feed and Food Controls (England) (Amendment) Regulations 2011
Performing Animals (Regulation) Act 1925
Petroleum (Consolidation) Regulations 2014
Pesticides (Fees and Enforcement) Act 1989
Pesticides Act 1998
Pet Animals Act 1951
Pet Animals Act 1951 (Amendment) Act 1983
Pigs (Records, Identification and Movement) Order 1995
Poisons Act 1972
Police, Factories and etc. (Miscellaneous Provisions) Act 1916
Pollution Prevention and Control Act 1999
Pollution Prevention and Control ( England and Wales) Regulations 2000
Prevention of Damage by Pests Act 1949
Prices Acts 1974
Proceeds of Crime 2002
Protection of Animals Act 1911
Psychoactive Substances Act 2016
Public Health Act 1936
Public Health Act 1961
Public Health Acts Amendment Act 1907
Public Health (Control of Diseases) Act 1984
Public Health (Ships) Regulations 1979

<b>Environment Health Consumer Protection Legislative Framework</b>
Public Health (Ships)(Amendment)(England) Regulations 2007
Regulatory Enforcement and Sanctions Act 2008
Riding Establishments Acts 1964 and 1970
Road Traffic (Consequential Provisions) Act 1988
Road Traffic (Foreign Vehicles) Act 1972
Road Traffic Acts 1988 and 1991
Road Traffic Offenders Act 1988
Scrap Metal Dealers Act 2013
Scotch Whiskey Regulations 2009
Spirit Drinks Regulations 2008
Sheep Scab Order 1997
Sheep and Goats (Records, Identification and Movement) (England) Order 2009
Slaughter of Poultry Act 1967
Solicitors Act 1974
Sunbeds (Regulations) Act 2010
Sunday Trading Act 1994
Telecommunications Act 1984
Timeshare, Holiday Products, Resale and Exchange Contracts Regulations 2010
Tobacco Advertising and Promotion Act 2002
Town and Country Planning Act 1990
Town Police Clauses Act 1847
Trade in Animals and Related Products Regulations 2011
Trade Descriptions Acts 1968
Trade Marks Act 1994
Trading Stamps Act 1964
Traffic Management Act 2004
Unsolicited Goods and Services Acts 1971
Unsolicited Goods and Services Amendment Act 1975
Video Recordings Acts 1984,1993 and 2010
Water Industries Act 1991
Weights and Measures Act 1985
Wine Regulations 2011
Zoo Licensing Act 1981

## Appendix 2 to Table 3 Delegation of Licensing Act 2003 Responsibilities

<b>Matter to be dealt with</b>	<b>(1) Full Committee</b>	<b>(2) Sub - Committee</b>	<b>(3) Officers</b>
Application for Personal Licence		If a police objection is made	If no objection made
Application for Personal Licence with unspent convictions		All cases	-
Application for Premises Licence/Club Premises Certificate		If a relevant representation is made	If no relevant representation is made
Application for provisional statement		If a relevant representation is made	If no relevant representation is made
Application to vary Premises Licence /Club Premises Certificate		If relevant representation is made	If no relevant representation is made
Application to vary designated Premises Supervisor		If a police objection made	All other cases
Request to be removed as designated Premises Supervisor		-	All cases
Application for transfer of Premises Licence		If a police objection made	All other cases
Application for interim authorities		If a police objection made	All other cases
Application to review Premises Licence /Club Premises Certificate		All cases	-
Decision on whether a complaint is irrelevant, frivolous or vexatious, etc.		-	All cases in consultation with the Chair or Vice Chair of the Statutory Licensing Committee
Decision to object when local authority is a consultee and not the relevant authority considering the application		All cases	-
Determination of a police representation to a temporary event notice		All cases	-

### Appendix 3 to Table 3 Delegation of Gambling Act 2005 Responsibilities

<b>Gambling Act 2005</b>	<b>Functions</b>	<b>Delegated</b>
Section 162	Decision as to whether representation is vexatious, frivolous, or would certainly not influence the authority's determination of application	Officers in consultation with Chairman or Vice Chairman of the Licensing Committee
	Attachment of condition to Premises Licence or exclusion of default condition	Licensing Sub-Committee
Section 163	Determination of application for Premises Licence where no relevant representations received	Officers
	Determination of application for Premises Licence in respect of which representations have been made (and not withdrawn)	Licensing Sub-Committee
Section 187	Determination of application to vary Premises Licence in respect of which no representation received	Officer
	Determination of application to vary Premises Licence in respect of which representations have been made (and not withdrawn)	Licensing Sub-Committee
Section 188	Determination of application for transfer of Premises Licence where no representations received	Officer
	Determination of application for transfer of Premises Licence in respect of which representations have been made (not withdrawn)	Licensing Sub-Committee
Section 193	Revocation of Premises Licence for failure to pay annual fee	Officer
Section 194	Determination that a Premises Licence has lapsed	Officer
Section 195	Reinstatement of lapsed Premises Licence where no representation is received	Officer
	Reinstatement of lapsed Premises Licence in respect of which representations have been made (and not withdrawn)	Licensing Sub-Committee
Section 198	Rejection of application for review of Premises Licence on various grounds	Officer in consultation with Chair or Vice Chair of the Licensing Committee
Section 200	Initiation of review of Premises Licence	Officer

<b>Gambling Act 2005</b>	<b>Functions</b>	<b>Delegated</b>
Section 201	Determination that representation about review of Premises Licence is frivolous, vexatious or will certainly not influence a review of a Premises Licence	Officer in consultation with Chair or Vice Chair of the Licensing Committee
Section 202	Determination of action following review of Premises Licence	Licensing Sub-Committee
Section 204	Determination of application for provisional statement in respect of which no representations received	Officer
	Determination of application for provisional statement in respect of Premises Licence where representations have been made (and not withdrawn)	Licensing Sub-Committee
Section 205	Decision to disregard representations made in respect of application for a Premises Licence after issue of provisional statement	Officer in consultation with Chair or Vice Chair of the Licensing Committee
Section 218	Issue of counter notice to Temporary Use Notice where number of permitted days are exceeded	Officer
Section 221	Objection to Temporary Use Notice	Officer
Section 222	Hearing in relation to a Temporary Use Notice or to agree with other parties in the event of an objection that a hearing is unnecessary	Officer in consultation with Chair or Vice Chair of the Licensing Committee
Section 284	Making of Order to remove exemptions from specified premises	Licensing Sub-Committee
Section 346	Institution of criminal proceedings in respect of an offence under the provisions of the Act	Officer in consultation with Chair or Vice Chair of the Licensing Committee
Schedule 10, Para 8	Determination of application for Family Entertainment Centre Gaming Machine Permit	Head of Administration (or in their absence the Central Services Manager) (Refusal to be exercised only in consultation with Chairman or Vice-Chairman of the Licensing Committee)
Schedule 10, Para 14,15	Notification of lapse of Family Entertainment Centre Gaming Permit	Officer in consultation with Chair or Vice Chair of the Licensing Committee
Schedule 11, Para 44	Registration of society for small society Lottery	Officer
Schedule 11, Para 48	Refusal of application for registration of society for small society lottery	Officer in consultation with Chair or Vice Chair of the Licensing Committee
Schedule 11, Para 50	Revocation of registration of society for small society lottery	Officer in consultation with Chair or Vice Chair of the Licensing Committee

<b>Gambling Act 2005</b>	<b>Functions</b>	<b>Delegated</b>
Schedule 11, Para 54	Cancellation of registration of society for small society lottery for non-payment of annual fee	Officer
Schedule 12, Para 5,10,24	Determination of application for Club Gaming Permit and Club Registration Permit and for renewal of permit where no representations received	Officer
	Determination of application for Club Gaming Permit and Club Registration Permit and for renewal of permit in respect of which representations have been made (and not withdrawn)	Licensing Sub-Committee
Schedule 12, Para 15	Determination of application for variation of Club Gaming Permit and Club Registration Permit and cancellation of permit where no representations received	Authorised
	Determination of application for variation of Club Gaming Permit and in respect of which Club Registration Permit and cancellation of permit representations have been made (and not withdrawn)	Licensing Sub-Committee
Schedule 12, Para 21	Cancellation of Club Gaming Permit and Club Registration Permit	Licensing Sub-Committee
Schedule 12, Para 4.7	Cancellation of Club Gaming Permit and Club Registration Permit for failure to pay annual fee	Officer
Schedule 13, Para 4,15,19	Determination of application for grant, variation or transfer of Licensed Premises Gaming Machine Permit	Officer (Refusal and limitation on number of machines only in consultation with Chair or Vice Chair of the Licensing Committee)
Schedule 13, Para 16	Cancellation of Licensed Premises Gaming Machine Permit or variation of number or category of machines	Licensing Sub-Committee
Schedule 13, Para 4.2	Cancellation of Licensing Premises Gaming Machine Permit and variation of number or category of machine where no representations received	Officer
	Cancellation of Licensed Premises Gaming Machine Permit for failure to pay annual fee	Officer
Schedule 14, Para 9, 18	Determination of application for Prize Gaming Permit and application for renewal of Permit	Officer (Refusal only in consultation with Chair or Vice-Chair of Licensing Committee)
Schedule 14, Para 15	Determination that Prize Gaming Permit has lapsed	Officer

**Appendix 4 to Table 3 Hackney Carriage and Private Hire Licensing Responsibilities**

<b>Matter to be dealt with</b>	<b>Full Committee</b>	<b>Sub Committee</b>	<b>Officers</b>
Application for a Drivers Licence - No concerns over fitness and propriety			x
Application for a Drivers Licence - Doubts on fitness and propriety are minor traffic offences			x
Application for a Drivers Licence - Lapse of time or circumstances for convictions fall outside of the Policy		x	
Application for a Drivers Licence - There is any other doubt on suitability		x	
Revocation of Driver or Operator Licence		x	
Revocation of Vehicle Licence			x
Suspension of Licence			x

## **Schedule 4**

### **Table 4**

## **Delegations to the Corporate Director of Children and Young People's Services**

### **1. Executive Functions**

Subject to the requirement set out below and in compliance with the provisions of Table 1 above, the Corporate Director of Children and Young People's Services, is authorised to discharge any function of the Executive in relation to:

1.1 The exercise of the Council's powers and duties in relation to the provision of social services so far as those functions relate to:-

- Children, or;
- Children and young people leaving care.

1.2 Issuing and conducting care proceedings in accordance with the Children Act 1989 and all other relevant legislation and guidance.

1.3 Functions exercisable on behalf of an NHS body so far as it relates to children and young people.

1.4 The Council's powers and duties in relation to the provision and commissioning of education and training opportunities for young people as determined by the Education Act 1996 and Education and Skills Act 2008 and all other legislation and guidance relating to education and training for young people.

1.5 The effective and lawful operation of a secure unit in accordance with the licence requirements set by the Office for Standards in Education, Children's Services and Skills (Ofsted).

1.6 The provision of assessment and treatment services for children and young people in accordance with the Mental Health Act 1983.

1.7 Implementing or determining, subject to any right of review or appeal which may apply, all matters concerning the statutory and corporate complaints procedure.

1.8 Setting and varying rates for providers of all forms of social care and housing support in consultation with the Corporate Director of Resources.

1.9 Setting and varying fees and charges for delivery of services.

1.10 Undertaking joint collaborative action as appropriate with the National Health Service and other partner organisations for the planning and provision of jointly operated services within the County, including the development of Cooperation Agreements and Partnerships and Agreements for joint working arrangements.

1.11 To meet the requirements as set down by regulatory bodies in relation to the operation of services within Children and Young People's Services.

1.12 The provision, operation, commissioning and variation of payments to external providers in respect of services arranged by the Corporate Director of Children and Young People's Services.

1.13 The provision, operation and commissioning of vocational training and allied services for persons over compulsory school age, including the provision of secure boarding accommodation for young people aged over 16 but under 25 who are subject to a learning difficulty assessment and promotion of arrangements to assist persons to obtain employment and employers to obtain employees.

1.14 The provision, operation and commissioning of services in relation to Adult Learning.

1.15 To coordinate the transition of service provision for children who will require services into adulthood.

1.16 Under the Children and Families Act 2014, to discharge any functions in relation to the social care duties of the Council including:

- The publication of a 'Local Offer' which clearly sets out services available for children and young people with special educational needs between the ages of 0-25.

In carrying out these delegated functions, the Corporate Director will agree arrangements for consultation with the relevant Cabinet Portfolio Member(s) and will refer to the Executive for consideration and decision those matters directed by the said Member(s).

## **2. Specific Delegations**

~~2.1 To coordinate the Council's response to Section 17 of the Crime and Disorder Act 1998 and ensure the crime and disorder implications of the Council's decisions are properly considered.~~

~~2.2 To provide support and advice to statutory partnerships in community safety/crime and disorder.~~

2.1 To meet the requirements as set down by regulatory bodies in relation to the employment, registration and training of all registerable staff.

2.2 To license the employment of children in accordance with the Children and Young Persons Act 1933.

2.3 To advise and contribute to the preparation of the Joint Strategic Needs Assessment and Joint Health & Wellbeing Strategy in conjunction with the Director of Public Health and local Clinical Commissioning Groups.

2.4 To lead and coordinate the Local Safeguarding Children's Board in accordance with legislation and guidance.

## **Schedule 5a – Proposed delegations**

**Table 5**

### **Delegations to the Corporate Director of Regeneration and Local Services**

#### **1. Executive Functions**

Subject to the requirement set out below and in compliance with the provisions of Table 1 above, the Corporate Director of Regeneration and Local Services is authorised to discharge any function of the Executive in relation to:

- Buildings and Facilities Management
- Building Design Services
- Business Support
- CCTV
- Construction Programme and Project Management Unit
- County Fleet
- Culture and Sport Localities
- Culture and Sport Improvement and Development
- Culture and Sport Growing and Learning
- Culture and Sport Wellbeing
- Culture and Sport Place and Experience
- Culture and Sport Projects and Transitions
- Customer Services
- Conservation and Archaeology
- Highways and Local Transport Planning
- Housing
- Management and Maintenance of Highways
- Management of the Council's Land and Property Assets
- Neighbourhood Protection
- Network Management and Street Works in relation to the New Roads and Street Works Act 1991 and the Traffic Management Act 2004 including enforcement.
- Parks and Grounds
- Policy, Performance and Communications
- Projects and Programme Management
- Public Rights of Way
- Regeneration and Local Services Learning and Development
- Spatial Planning, Regeneration and Economic Policy
- Strategic Business Service Development and Support
- Strategic Tourism
- Street Cleansing and Grounds Maintenance
- Town Twinning
- Traffic Management, in consultation, where required with the Highways Committee, in relation to functions under the Road Traffic Regulation Act 1984 and 1988

- Transport functions delegated to the Council by the Combined Authority formed by the Durham, Gateshead, North Tyneside, Northumberland, South
- Tyneside and Sunderland Combined Authority Order 2014 (the Combined Authority)
- Transport Strategy
- Waste Collections and Waste Transfer Sites
- Waste Management

In carrying out these delegated functions the Corporate Director will agree arrangements for consultation with the relevant Cabinet Portfolio Member(s) and will refer to the Executive for consideration and decision those matters directed by the said Member(s).

### **Specific and non-executive delegations**

#### **The following matters are, in addition delegated to the Head of Culture and Sport:**

2. To authorise suitably qualified and competent staff within Culture and Sport and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5.
3. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions.
4. To exercise functions as Library Authority under relevant legislation referred to in Appendix 2 Table 5.
5. In consultation with the Head of Legal and Democratic Services to take enforcement action under bye-laws relating to arts, libraries and museums.
6. To approve agency agreements where financial transactions are not required.

#### **The following matters are, in addition delegated to the Head of Direct Services:**

7. To exercise the Council's functions relating to provisions of the Highways Act 1980 as set out in Schedule 1 to the Local Authorities (Functions and Responsibilities) (England) 2000 Regulations.
8. To authorise suitably qualified and competent staff within Direct Services and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5.
9. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions.

10. To authorise suitably qualified and competent staff within Direct Services to discharge the regulatory and enforcement functions of the Council under the relevant legislation set out in Appendix 2 to Table 5.
11. To exercise, in consultation with the Head of the Legal and Democratic Services the Council's enforcement functions including the institution of legal proceedings under relevant legislation set out in Appendix 2 to Table 5 and all associated secondary legislation as amended from time to time.
12. To issue simple and conditional cautions to persons guilty of criminal offences under the legislation as referred to in Appendix 2 to Table 5 to this Table and in accordance with PACE and the Home Office Guidance.
13. To exercise functions as Waste Collection Authority under relevant legislation referred to in Appendix 2 to Table 5.
14. In consultation with the Head of Legal and Democratic Services, to make, confirm, amend, vary, modify, consolidate and revoke orders under the legislation referred to in Appendix 2 to Table 5.
15. To manage the Council's allotments which includes responsibility for the grant, renewal and termination of allotment tenancies.

**The following matters are, in addition, delegated to the Head of Economic Development and Housing:**

16. In consultation with the Head of Legal and Democratic Services to review and update the list of relevant legislation contained within Appendix 1 to Table 5 to reflect new or modified statutory provisions.
17. To exercise the Council's function in relation to housing including:
  - a) the determination of any applications for grant and/or housing assistance loans pursuant to the legislation listed in Appendix 1 to Table 5;
  - b) the exercise of the Council's enforcement powers under the legislation listed in Appendix 1 to Table 5 in relation to private sector housing;
  - c) to investigate and determine all applications made to the Council under its powers and duties to deal with homelessness in the legislation described in Appendix 1 to Table 5;
  - d) to authorise any expenditure required in the exercise of the Council's functions to assist the homeless.
18. To carry out the Council's functions in relation to permanent Gypsy, Roma and Traveller sites under the legislation listed in Appendix 1 to Table 5, including allocation of sites, site management, rent recovery and tenant support.

**The following matters are, in addition, delegated to the Head of Planning and Assets:**

19. Determine all forms of planning and other applications and all notifications submitted under the Town and Country Planning Act 1990, the Planning (Listed Buildings and Conservation Areas) Act 1990, the Planning (Hazardous Substances) Act 1990 or under any related principal or secondary legislation, except the following:
- a) those applications for planning permission, other applications and notifications which have a relevant timescale of more than 28 days that any Member of the Council requests be determined by the Planning Committee (such must be made in writing to the Head of Planning and Assets specifying material planning grounds on which the request is made and received by the Head of Planning and Assets within 21 days of publication on the weekly list or the initial publication of the proposal (site notice / press notice / service of neighbour consultation letters, whichever is the latest);
  - b) those applications for planning permission, other applications and notifications which have a relevant timescale of more than 28 days where a Member of the Council or an officer of the Planning Development Service or their spouse/partner or children has an interest in the property or land which is the subject of the application or notification and where there is an objection to the application or notification;
  - c) those applications for planning permission, other applications and notifications which have a relevant timescale of more than 28 days where despite a Town or Parish Council having expressed objection or support on material planning grounds the officer is minded to recommend the application or notification contrary to the Town or Parish Council wishes and the local council have made a specific request in writing for the application or notification to go before a planning committee which is received by the Head of Planning and Assets within 21 days of publication on the weekly list or the initial publication of the proposal (site notice / press notice / service of neighbour consultation letters, whichever is the latest);
  - d) Major developments (excluding s73 and Reserved Matter applications) comprising:
    - i) ten or more dwellings (detailed and outline applications) except where the application is for a substitution of house types on a scheme already benefiting from an extant planning permission;
    - ii) industrial floor space of 5000 m<sup>2</sup> or more comprised in Use Class B1 (Business) and/or Use Class B2 (General Industrial) and/or Use Class B8 (Storage or Distribution); or
    - iii) development not falling within (i) and (ii) above, where the floor space is 1000 m<sup>2</sup> (gross) or more or the site area is 1 hectare or more except

applications where the use or building would be for agriculture or personal equestrian use;

- e) those applications for planning permission, other applications and notifications which have a relevant timescale of more than 28 days likely to have, in the opinion of the Head of Planning and Assets, a significant impact on the environment or are by their nature particularly controversial;
  - f) those applications for planning permission, other applications and notifications which have a relevant timescale of more than 28 days recommended for refusal which involve the creation of 10 or more full time or equivalent jobs;
  - g) those applications for planning permission or notifications which have a relevant timescale of more than 28 days where there is a significant departure from Development Plan policy and which would be required to be the subject of a notification to the Secretary of State.
20. The exercise of the Council's enforcement powers under legislation listed in Appendix 1 to Table 5 in relation to Common Land and Town and Village Greens.
  21. To approve decisions made relating to the list of assets of community value.
  22. To decline to determine planning applications under Sections 70A, 70B of the Town and Country Planning Act.
  23. To take all necessary steps in connection with the defence of appeals against any refusal or failure to determine any of the applications and notifications mentioned at paragraph 19 above.
  24. To provide pre-application advice on proposed or anticipated development schemes in accordance with the Council's Pre-Application Advice Protocol.
  25. To respond to any pre-application or other consultation on nationally significant infrastructure projects submitted or to be submitted to the Major Infrastructure Planning Unit under the Planning Act 2008.
  26. To carry out reviews of planning permissions as required by the Habitats Directive and the Conservation of Habitats and Species Regulations 2010.
  27. To authorise the making and confirmation of a Direction under Article 4 of the Town and Country Planning (General Permitted Development) England Order 2015.
  28. To authorise the drafting, negotiation and completion of Section 106 Planning Obligations, S106A Variations to Planning Obligations and Release of Section 52 Planning Agreements and Section 39 Agreements and to authorise the giving of any approval or consent required pursuant to a S106 Planning Obligation, S106A Deed of Variation or Section 52 Planning Agreement, or Section 39 Agreements.

29. Authorise, sign and serve all enforcement and other notices under the Town and Country Planning Act 1990, The Planning (Listed Buildings and Conservation Areas) Act 1990 and the Town and Country Planning (Control of Advertisements) (England) Regulations 2007 on behalf of the Council.
30. Authorise the taking of prosecution action applications for injunctions and to instruct the Head of Legal and Democratic Services, as necessary, to instigate legal proceedings in respect of the enforcement of legislation referred to in Appendix 1 to Table 5.
31. To administer simple and conditional cautions to persons guilty of criminal offences under the legislation referred to in Appendix 1 to Table 5 and in accordance with PACE and Home Office guidance.
32. Authorise the taking of default action under Sections 178 and 219 of the Town and Country Planning Act 1990.
33. Authorise the making of Orders under Section 257 of the Town and Country Planning Act 1990.
34. To apply to the Secretary of State for an order under Section 249 of the Town and Country Planning Act 1990.
35. To exercise powers of revocation/modification of planning permissions (Section 97), discontinuance of a use/alteration or removal of a building (Section 102 and Schedule 9) and the making of Prohibition or Suspension Orders (Schedule 9) of the Town and Country Planning Act 1990.
36. Authorise the making, confirmation, revocation and variations of Tree Preservations Orders.
37. In connection with any proposed development under Schedule 2 of the Town and Country Planning (Environmental Impact Assessment) Regulations 2011, determine whether an Environmental Impact Assessment is required (screening) and the information required (scoping).
38. Authorise individual named officers to exercise powers of entry contained in the following:
  - The Hedgerow Regulations 1997
  - Town and Country Planning Act 1990
  - Planning (Listed Buildings and Conservation Areas) Act 1990
  - Planning (Hazardous Substances) Act 1990
  - Building Act 1984
  - Fire Safety and Safety of Places of Sport Act 1987
  - Safety of Sport Grounds Act 1975
  - Local Government (Miscellaneous Provisions) Act 1982
  - Party Wall etc Act 1996

- Planning Act 2008

or such other Acts of Parliament as relate to the relevant statutory functions of the planning authority.

39. To administer and determine complaints about high hedges under the Anti-Social Behaviour Act 2003.
40. The obtaining of information under Section 330 of the Town and Country Planning Act 1990.
41. Act under and in respect of:
  - a) Sections 16, 19 to 21, 23 to 25, 32, 35 and 36, Building Act 1984;
  - b) Sections 77 to 78 and 80 to 83, Building Act 1984;
  - c) Building Regulation 18 with regard to giving of notices and requiring the laying open, cutting into, and pulling down the building, works or fittings (Building Regulations 2010),
  - d) Party Wall Act etc.1996.
42. Accept and reject notices, certificates and certificates of compliance from Approved Inspectors and Public Bodies under Sections 47 to 54, Building Act 1984 and to issue safety certificates in accordance with the Safety of Sports Grounds Act 1975 and Part III, Fire Safety and Safety of Places of Sport Act 1987.
43. To authorise, sign and serve all notices and deal with all applications, licences, revocations and suspensions and take all necessary enforcement action on behalf of the Council in respect of its responsibilities for matters of building control.
44. In consultation with the Local Members and the relevant Cabinet Portfolio Member, to sell or lease any property which is surplus to the requirements of the Service for which it is held and where after enquiries no other Service has expressed an interest in the property.
45. To approve the principle of acquiring property at a price not exceeding £100,000.
46. To settle the terms of the purchase or lease of property the acquisition of which has been approved in principle by the Council and to settle any dilapidation claim at the end of any lease acquired.
47. To negotiate the acquisition of easements, rights of way, wayleaves, licences, covenants and consents for the benefit of Council land and property.

48. To accept the dedication or transfer of land to be maintained as public open space subject to satisfactory terms being negotiated for contribution to the cost of maintenance.
49. To deal with applications for easements, rights of way, wayleaves, licences, covenants and consents affecting council land and property not materially affecting the use to which it is or might be put.
50. To approve the granting of an option over Council land or the acquiring by the Council of an option over land and to approve the extension of any option granted or acquired.
51. To authorise the use of Council land as a permissive right of way and/or to dedicate Council land as a public right of way.
52. In consultation with the appropriate Service representative to grant leases on any council owned property, whether or not it has been declared surplus.
53. In respect of leases, to carry out rent reviews and to grant renewals, variations, assignments, sub-lettings, surrenders and other landlords' consents.
54. To agree the appropriation of land from one use to another where this is necessary to facilitate schemes to be carried out by or on behalf of the Council.
55. To settle compensation claims not exceeding £50,000 either under the provisions of Part 1 of the Land Compensation Act 1973 or as a result of the Council taking entry to property for borehole samples, surveys or other site investigations.
56. To negotiate and agree the rating assessment and valuation of all council owned property, in consultation with the Head of Corporate Finance.
57. To require information as to interests in land under Section 16 of the Local Government (Miscellaneous Provisions) Act 1976.
58. To negotiate abortive costs in the event of a transaction not proceeding in circumstances where the Council has a liability to pay costs.
59. Where required as part of the appropriate management of the Council's assets, to authorise the demolition of Council buildings.
60. To approve disposals and appropriations of Open Space and Public Walks and Pleasure Grounds as set out in Section 10 of the Open Spaces Act 1906 and Section 164 of the Public Health Act 1875 subject to seeking guidance from the Highways Committee in the event of unresolved objections being received.
61. To authorise and maintain a list of assets of community value and to make adjudications and decisions in relation thereto, as defined in Part 5, Chapter 3 of the Localism Act 2011.
62. All duties arising out of the establishment of the Business Improvement Districts.

63. To designate any areas to be of special architectural or historical interest as conservation areas; to review and amend the boundaries and conclude Character appraisals and to formulate and prepare proposals for the preservation and enhancement of those areas.

64. In consultation with the Head of Legal and Democratic Services to review and update the list of relevant legislation contained within Appendix 1 to Table 5 to reflect new or modified statutory provisions

**The following matters are, in addition delegated to the Head of Projects and Business Services:**

65. To authorise suitably qualified and competent staff within Projects and Business Services and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5.

66. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions.

67. To exercise functions as Waste Disposal Authority under relevant legislation referred to in Appendix 2 to Table 5 to this table.

**The following matters are, in addition delegated to the Head of Strategy, Programmes and Performance:**

68. To authorise suitably qualified and competent staff within Strategy, Programmes and Performance Services and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 1 to Table 5.

69. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 1 to Table 5 to reflect new or modified statutory provisions.

**The following matters are, in addition delegated to the Head of Technical Services:**

70. To exercise all the Council's functions relating to provisions of the Highways Act 1980 as set out in Schedule 1 to the Local Authorities (Functions and Responsibilities) (England) 2000 Regulations.

71. To authorise suitably qualified and competent staff within Technical Services and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5.

72. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions.
73. To authorise suitably qualified staff within Technical Services to discharge the regulatory and enforcement functions of the Council under the relevant legislation set out in Appendix 2 to Table 5.
74. To exercise, in consultation with the Head of the Legal and Democratic Services the Council's enforcement functions, including the institution of legal proceedings, under legislation set out in Appendix 2 to Table 5 and all associated secondary legislation as amended from time to time.
75. In consultation with the Head of Legal and Democratic Services, to make, confirm, amend, vary, modify, consolidate and revoke orders under the legislation referred to in Appendix 2 to Table 5.
76. To exercise the functions of land drainage and Lead Local Flood Authority under the relevant legislation referred to in Appendix 2 to Table 5.
77. To exercise functions as Highway Authority under relevant legislation referred to in Appendix 2 to Table 5 including the following:
  - i. Power to enforce all the provisions of and initiate legal proceedings under all the sections of the Highways Act 1980;
  - ii. To exercise the Council's powers under the Durham City Council Act 1985 in relation to the temporary closure of footpaths;
  - iii. To grant street works license under section 50 of the New Roads and Street Works Act 1991
  - iv. To issue fixed penalty notices to statutory undertakers who fail to serve correct notices under the New Roads and Street Works Act 1991 to carry out works on the road network

**The following matters are, in addition, delegated to the Head of Transport and Contract Services:**

78. In consultation with the Head of Legal and Democratic Services to review and update the list of relevant legislation contained within Appendix 1 to Table 5 to reflect new or modified statutory provisions
79. To exercise all of the Council's functions relating to public rights of way as set out in Part 1 of Section I of Schedule 1 to the Local Authorities (Functions and Responsibilities) (England) 2000 Regulations except matters reserved to the Highways Committee.
80. To cancel penalty charge notices in respect of parking contraventions under the Civil Enforcement of Parking Contraventions (England) Representations

and Appeals Regulations 2007.

81. To exercise the Council's powers under the Traffic Management Act 2004 and Transport Act 2000 to:
  - a) issue a penalty charge notice in connection with parking offences and part of the civil parking regime
  - b) allow exceptions to normal civil parking enforcement practices where appropriate
82. To deal with the provision and maintenance of bus stop infrastructure in highways and, if necessary, land abutting highways.
83. To undertake non-statutory consultations before implementing proposals to locate bus stop infrastructure, subject to consultation with Highways Committee before exercising this delegated power if there are unresolved representations to such proposals.
84. Provision and operation of closed circuit television in accordance with the Criminal Justice and Public Order Act 1994 and the Private Security Industry Act 2001.
85. To discharge the regulatory and enforcement functions of the Council under the legislation set out in the Appendix 1 to Table 5.
86. To enter into Section 38 of the Highways Act 1980 agreements to adopt and there after maintain highways at the public expense.
87. To enter into Section 278 of the Highways Act 1980 agreements to modify existing highways.

## Appendix 1 to Table 5

Acquisition of Land Act 1981  
Anti-Social Behaviour Act 2003  
Anti-Social Behaviour, Crime and Policing Act 2014  
Building Act 1984  
Chronically Sick and Disabled Persons Act 1970  
Commons Act 1876, 2006  
Commons Registration Act 1965  
Countryside and Rights of Way Act 2000  
Criminal Justice and Public Order Act 1994  
Durham City Council Act 1985  
Electricity at Work Regulations 1989  
Environmental Protection Act 1990  
Environment Act 1995  
Fire Safety and Places of Sport Act 1987  
Forgery and Counterfeiting Act 1981  
Fraud Act 2006  
Growth and Infrastructure Act 2013  
The Hedgerows Regulations 1997  
Highways Act 1980  
Home Energy Conservation Act 1997  
Homelessness Act 2002  
Housing Act 1985, 1988, 1996, 2004  
Housing and Planning Act 2016  
Housing and Regeneration Act 2008  
Housing Grants Construction and Regeneration Act 1996  
Inclosure Act 1857  
Land Compensation Act 1973  
Landlord and Tenant Act 1985  
Leasehold Reform Housing and Urban Development Act 1993  
Local Democracy, Economic Development and Construction Act 2009  
Local Government Act 1972, 2003  
Local Government and Housing Act 1989  
Local Government, Planning and Land Act 1980  
Local Government (Miscellaneous Provisions) Act 1976, 1982  
Localism Act 2011  
Local Transport Act 2008  
Mobile Homes Act 2013  
National Parks and Access to the Countryside Act 1949  
Natural Environment and Rural Communities Act 2006  
New Roads and Street Works Act 1991  
Open Spaces Act 1906  
Party Wall etc. Act 1996  
Planning and Compulsory Purchase Act 2004  
Planning (Hazardous Substances) act 1990  
Planning (Listed Buildings and Conservation Areas) Act 1990  
Planning Act 2008  
Private Security Industry Act 2001

Proceeds of Crime Act 2002  
Protection from Eviction Act 1977  
Protection of Freedoms Act 2012  
Railway Clauses Consolidation Act 1985  
Regulatory Reform (Housing Assistance) (England and Wales) Order 2002  
Road Traffic Regulation Act 1984  
Safety of Sports Grounds Act 1975  
The Conservation of Habitats and Species Regulations 2010  
Town and Country Planning Act 1990  
Town and Country Planning (Use Classes Order) 1987  
Town and Country Planning (Control of Advertisements) (England) Regulations 2007  
Town and Country Planning (Environmental Impact Assessment) Regulations 2011  
Town and Country Planning (General Permitted Development) Order 1995  
Town and Country Planning (Development Management Procedure) (England) Order 2010  
Town and Country Planning (Local Planning) (England) Regulations 2012  
Town Police Clauses Act 1847  
Traffic Management Act 1984, 2004  
Transport Act 1985, 2000  
Warm Homes and Energy Conservation Act 2003  
Wildlife and Countryside Act 1981

## **Appendix 2 to Table 5**

Administration of Justice Act 1970  
Allotments Act 1922, 1925, 1950  
Animal Act 1971  
Animal Welfare Act 2006  
Anti-Social Behaviour Act 2003  
Anti-Social Behaviour, Crime and Policing Act 2014  
Burial Act 1853  
Burial Act 1857  
Civic Amenities Act 1967  
Clean Neighbourhoods and Environment Act 2005  
Coast Protection Act 1949  
Conservation of Habitats and Species Regulations 2010  
Construction Design and Management (CDM) Regulations 2015  
Control of Horses Act 2015  
Control of Pollution (Amendment) Act 1989  
Control of Pollution Act 1974  
Copyright, Designs and Patents Act 1988  
Countryside Act 1968  
Countryside and Rights of Way Act 2000  
Cremation Act 1902, 1952  
Crime and Disorder Act 1998  
Criminal Damage Act 1971  
Criminal Justice and Police Act 2001  
Criminal Justice and Public Order Act 1994  
Dangerous Dogs Act 1991  
Deregulation Act 2015  
Durham City Council Act 1985  
Electricity At Work Regulations 1989  
Environment Act 1995  
Environmental Protection Act 1990  
Firearms Act 1968  
Flood and Water Management Act 2010  
Hazardous Waste (England and Wales) Regulations 2005  
Health and Safety at Work Act 1974  
Highways Act 1980  
Household Waste Recycling Act 2003  
Housing Grants, Construction and Regeneration Act 1996  
Land Drainage Act 1991 and 1994  
Landfill (England and Wales) Regulations 2002  
Local Authorities' Cemeteries Order 1977  
Local Democracy, Economic Development and Construction Act 2009  
Local Government Act 1972  
Local Government (Miscellaneous Provisions) Act 1976, 1982  
Local Transport Act 2008  
Localism Act 2011  
Management of Health and Safety at Work Regulations 1999

Museums and Galleries Act 1992  
National Parks and Access to the Countryside Act 1949  
Natural Environment and Rural Communities Act 2006  
New Roads and Street Works Act 1991  
Occupiers Liability Act 1984  
Offender Management Act 2007  
Open Spaces Act 1906  
Parochial Registers and Records Measure 1978  
Police Reform Act 2002  
Protection of Freedom Act 2012  
Public Health Acts Amendment Act 1907  
Public Health Act 1925, 1936, 1961  
Public Libraries and Museums Act 1964  
Refuse Disposal (Amenity) Act 1978  
Registration of Burials Act 1864  
Road Traffic Act 1988  
Road Traffic Regulations Act 1984  
Road Traffic (Temporary Restrictions) Act 1991  
Road Traffic Regulation (Special Events) Act 1994  
Safety Sports Ground Act 1975  
Small Holdings and Allotments Act 1908  
Sporting Events (Control of Alcohol etc) Act 1985  
Theatres Act 1968  
Town and Country Planning Act 1990  
Town Improvement Clauses Act 1847  
Town Police Clauses Act 1847  
Traffic Calming Act 1992  
Traffic Management Act 2004  
Transport Act 1968, 1981, 1985, 2000  
Waste Minimisation Act 1998  
Waste Batteries and Accumulators Regulations 2009  
Waste Electrical and Electronic Equipment Regulations 2013  
Waste (England and Wales) Regulations 2011  
Weeds Act 1959  
Wildlife and Countryside Act 1981

## **Schedule 5b – Existing delegations**

### **Table 5**

#### **Delegations to the Corporate Director of Regeneration and Local Services**

##### **Executive Functions**

Subject to the requirement set out below and in compliance with the provisions of Table 1 above, the Corporate Director of Regeneration and Local Services is authorised to discharge any function of the Executive in relation to:

- Buildings and Facilities Management
- Building Design Services
- Business Services
- Construction Programme and Project Management Unit
- County Fleet
- Culture and Sport Localities
- Culture and Sport Improvement and Development
- Culture and Sport Growing and Learning
- Culture and Sport Wellbeing
- Culture and Sport Place and Experience
- Customer Services
- Conservation and Archaeology
- Highways and Local Transport Planning
- Housing
- Management and Maintenance of Highways
- Management of the Council's Land and Property Assets
- Neighbourhood Protection
- Network Management and Street Works in relation to the New Roads and Street Works Act 1991 and the Traffic Management Act 2004 including enforcement.
- Parks and Grounds
- Policy, Performance and Communications
- Projects and Programme Management
- Public Rights of Way
- Regeneration and Local Services Learning and Development
- Spatial Planning, Regeneration and Economic Policy
- Strategic Tourism
- Street Cleansing and Grounds Maintenance
- Town Twinning
- Traffic Management, in consultation, where required with the Highways Committee, in relation to functions under the Road Traffic Regulation Act 1984 and 1988
- Transport functions delegated to the Council by the Combined Authority formed by the Durham, Gateshead, North Tyneside, Northumberland, South Tyneside and Sunderland Combined Authority Order 2014 (the Combined Authority)
- Transport Strategy

- Waste Collections and Waste Transfer Sites
- Waste Management

In carrying out these delegated functions the Corporate Director will agree arrangements for consultation with the relevant Cabinet Portfolio Member(s) and will refer to the Executive for consideration and decision those matters directed by the said Member(s)

### **Specific and non-executive delegations**

- 1 To give consent on behalf of the Council to the County Durham Development Company Limited incurring expenditure of money provided by the Council.
- 2 To review decisions made by the Head of Planning and Assets relating to the list of assets of community value.
- 3 In consultation with the Head of Legal and Democratic Services to review and update the list of relevant legislation contained within Appendix 1 to Table 5 to reflect new or modified statutory provisions.
- 4 The exercise of the Council's enforcement powers under legislation listed in Appendix 1 to Table 5 in relation to Common Land and Town and Village Greens.

### **The following matters are, in addition, delegated to the Head of Transport and Contract Services:**

- 5 To exercise all of the Council's functions relating to public rights of way as set out in Part 1 of Section I of Schedule 1 to the 2000 Regulations except matters reserved to the Highways Committee.
- 6 To cancel penalty charge notices in respect of parking contraventions under the Civil Enforcement of Parking Contraventions (England) Representations and Appeals Regulations 2007.
- 7 To exercise the Council's powers under the Traffic Management Act 2004 and Transport Act 2000 to:
  - a) issue a penalty charge notice in connection with parking offences and part of the civil parking regime
  - b) allow exceptions to normal civil parking enforcement practices where appropriate
- 8 To deal with the provision and maintenance of bus stop infrastructure in highways and, if necessary, land abutting highways.
- 9 To undertake non-statutory consultations before implementing proposals to locate bus stop infrastructure, subject to consultation with Highways

Committee before exercising this delegated power if there are unresolved representations to such proposals.

- 10 Provision and operation of closed circuit television in accordance with the Criminal Justice and Public Order Act 1994 and the Private Security Industry Act 2001.
- 11 To discharge the regulatory and enforcement functions of the Council under the legislation set out in Appendix 1 to Table 5.
- 12 To enter into Section 38 of the Highways Act 1980 agreements to adopt and there after maintain highways at the public expense.
- 13 To enter into Section 278 of the Highways Act 1980 agreements to modify existing highways.

**The following matters are, in addition, delegated to the Head of Spatial Policy, Planning and Assets:**

- 14 Determine all forms of planning and other applications and all notifications submitted under the Town and Country Planning Act 1990, the Planning (Listed Buildings and Conservation Areas) Act 1990, the Planning (Hazardous Substances) Act 1990 or under any related principal or secondary legislation, except the following:
  - a) those applications for planning permission, applications for approval of reserved matters or other notifications which have a relevant timescale of more than 28 days that any Member of the Council requests be determined by the Planning Committee (such must be made in writing to the Head of Spatial Policy, Planning and Assets specifying material planning grounds on which the request is made and received by the Head of Spatial Policy, Planning and Assets within 21 days of publication on the weekly list or the publication of the site notice or press notice and service of neighbour consultation letters, whichever is the latest);
  - b) those applications for planning permission, applications for approval of reserved matters or other notifications which have a relevant timescale of more than 28 days where a Member of the Council or an officer of the Planning Development Service or their spouse/partner or children has an interest in the property or land which is the subject of the application or notification and where there is an objection to the application or notification;
  - c) those applications for planning permission, applications for approval of reserved matters or other notifications which have a relevant timescale of more than 28 days where despite a Town or Parish Council having expressed objection or support on material planning grounds the officer is minded to recommend the application or notification contrary to the Town or Parish Council wishes and the Town or Parish Council

have made a specific request in writing for the application or notification to go before a planning committee which is received by the Head of Planning and Assets within 21 days of publication on the weekly list;

- d) Major developments (but not including Reserved Matters, applications for extension of time or applications for a material minor amendment) comprising-
- (i) ten or more dwellings (detailed and outline applications) except where the application is for a substitution of house types on a scheme already benefiting from an extant planning permission;
  - (ii) industrial floor space of 5000 m<sup>2</sup> or more comprised in Use Class B1 (Business) and/or Use Class B2 (General Industrial) and/or Use Class B8 (Storage or Distribution); or
  - (iii) all other developments not falling within use classes C3, C4, B1, B2 or B8 where the floor space is 1000 m<sup>2</sup> (gross) or more or the site area is 1 hectare or more except applications where the use or building would be for agriculture or personal equestrian use;
  - (iv) those applications for planning permission or notifications which have a relevant timescale of more than 28 days which in the opinion of the Head of Planning and Assets ought to be determined by Committee due to their controversial nature;
  - (v) those applications for planning permission or notifications which have a relevant timescale of more than 28 days recommended for refusal which involve the creation of 10 or more full time or equivalent jobs;
  - (vi) those applications for planning permission or notifications which have a relevant timescale of more than 28 days where there is a significant departure from Development Plan policy and which would be required to be the subject of a notification to the Secretary of State;
- 15 To decline to determine planning applications under Sections 70A, 70B and 70C of the Town and Country Planning Act.
- 16 To take all necessary steps in connection with the defence of appeals against any refusal or failure to determine any of the applications and notifications mentioned at paragraph 15 above.
- 17 To provide pre-application advice on proposed or anticipated development schemes in accordance with the Council's Pre-Application Advice Protocol.

- 18 To respond to any pre-application or other consultation on nationally significant infrastructure projects submitted or to be submitted to the Major Infrastructure Planning Unit under the Planning Act 2008.
- 19 To carry out reviews of planning permissions as required by the Habitats Directive and the Conservation of Habitats and Species Regulations 2010.
- 20 To authorise the making and confirmation of a Direction under Article 4 of the Town and Country Planning (General Permitted Development) Order 1995.
- 21 To authorise the drafting, negotiation and completion of Section 106 Planning Obligations, S106A Variations to Planning Obligations and Release of Section 52 Planning Agreements and to authorise the giving of any approval or consent required pursuant to a S106 Planning Obligation, S106A Deed of Variation or Section 52 Planning Agreement.
- 22 Authorise, sign and serve all enforcement and other notices under the Town and Country Planning Act 1990, The Planning (Listed Buildings and Conservation Areas) Act 1990 and the Town and Country Planning (Control of Advertisements) (England) Regulations 2007 on behalf of the Council.
- 23 Authorise the taking of prosecution action applications for injunctions and to instruct the Head of Legal and Democratic Services, as necessary, to instigate legal proceedings in respect of the enforcement of legislation referred to in Appendix 1 to Table 5.
- 24 To administer simple and conditional cautions to persons guilty of criminal offences under the legislation referred to in the Appendix to this Table and in accordance with PACE and Home Office guidance.
- 25 Authorise the taking of default action under Sections 178 & 219 of the Town and Country Planning Act 1990.
- 26 Authorise the making of Orders under Section 257 of the Town and Country Planning Act 1990.
- 27 To apply to the Secretary of State for an order under Section 249 of the Town and Country Planning Act 1990.
- 28 To exercise powers of revocation/modification of planning permissions (Section 97), discontinuance of a use/alteration or removal of a building (Section 102 & Schedule 9) and the making of Prohibition or Suspension Orders (Schedule 9) of the Town and Country Planning Act 1990.
- 29 Authorise the making, confirmation, revocation and variations of Tree Preservations Orders.

- 30 In connection with any proposed development under Schedule 2 of the Town and Country Planning (Environmental Impact Assessment) Regulations 2011, determine whether an Environmental Impact Assessment is required (screening) and the information required (scoping).
- 31 Authorise individual named officers to exercise powers of entry contained in the following:
- The Hedgerow Regulations 1997
  - Town and Country Planning Act 1990
  - Planning (Listed Buildings and Conservation Areas) Act 1990
  - Planning (Hazardous Substances) Act 1990
  - Building Act 1984
  - Fire Safety and Safety of Places of Sport Act 1987
  - Safety of Sport Grounds Act 1975
  - Local Government (Miscellaneous Provisions) Act 1982
  - Party Wall etc Act 1996
  - Planning Act 2008
- or such other Acts of Parliament as relate to the relevant statutory functions of the planning authority;
- 32 To administer and determine complaints about high hedges under the Anti-Social Behaviour Act 2003.
- 33 The obtaining of information under Section 330 of the Town and Country Planning Act 1990 and Section 16 of the Local Government (Miscellaneous Provisions) Act 1976.
- 34 Act under and in respect of –
- a) Sections 16, 18 to 21, 23 to 25, 32, 35 and 36, Building Act 1984;
  - b) Sections 77 to 78 and 80 to 83, Building Act 1984;
  - c) Building Regulation 14 with regard to giving of notices and requiring the laying open, cutting into, and pulling down the building, works or fittings Issue 1 65 12 May 2010
  - d) Sections 29-32, Local Government (Miscellaneous Provisions) Act 1982; Party Wall Act etc.1996;
- 35 Accept and reject notices, certificates and certificates of compliance from Approved Inspectors and Public Bodies under Sections 47 to 54, Building Act 1984 and to issue safety certificates in accordance with the Safety of Sports Grounds Act 1975 and Part III, Fire Safety and Safety of Places of Sport Act 1987:

- 36 To authorise, sign and serve all notices and deal with all applications, licences, revocations and suspensions and take all necessary enforcement action on behalf of the Council in respect of its responsibilities for matters of building control.
- 37 In consultation with the Local Members and the relevant Cabinet Portfolio Member, to sell or lease any property which is surplus to the requirements of the Service for which it is held and where after enquiries no other Service has expressed an interest in the property.
- 38 To approve the principle of acquiring property at a price not exceeding £60,000.
- 39 To settle the terms of the purchase or lease of property the acquisition of which has been approved in principle by the Council and to settle any dilapidation claim at the end of any lease acquired.
- 40 To negotiate the acquisition of easements, rights of way, wayleaves, licences, covenants and consents for the benefit of Council land and property.
- 41 To accept the dedication or transfer of land to be maintained as public open space subject to satisfactory terms being negotiated for contribution to the cost of maintenance.
- 42 To deal with applications for easements, rights of way, wayleaves, licences, covenants and consents affecting council land and property not materially affecting the use to which it is or might be put.
- 43 To approve the granting of an option over Council land or the acquiring by the Council of an option over land and to approve the extension of any option granted or acquired.
- 44 To authorise the use of Council land as a permissive right of way and/or to dedicate Council land as a public right of way.
- 45 In consultation with the appropriate Service representative to grant leases on any council owned property, whether or not it has been declared surplus.
- 46 In respect of leases, to carry out rent reviews and to grant renewals, variations, assignments, sub-lettings, surrenders and other landlords' consents.
- 47 To agree the appropriation of land from one use to another where this is necessary to facilitate schemes to be carried out by or on behalf of the Council.

- 48 To settle compensation claims not exceeding £50,000 either under the provisions of Part 1 of the Land Compensation Act 1973 or as a result of the Council taking entry to property for borehole samples, surveys or other site investigations.
- 49 To negotiate and agree the rating assessment and valuation of all council owned property, in consultation with the Head of Corporate Finance.
- 50 To require information as to interests in land under Section 16 of the Local Government (Miscellaneous Provisions) Act 1976.
- 51 To negotiate abortive costs in the event of a transaction not proceeding in circumstances where the Council has a liability to pay costs.
- 52 Where required as part of the appropriate management of the Council's assets, to authorise the demolition of Council buildings.
- 53 To approve disposals and appropriations of Open Space and Public Walks and Pleasure Grounds as set out in Section 10 of the Open Spaces Act 1906 and Section 164 of the Public Health Act 1875 subject to seeking guidance from the Highways Committee in the event of unresolved objections being received.
- 54 To authorise and maintain a list of assets of community value and to make adjudications and decisions in relation thereto, as defined in Part 5, Chapter 3 of the Localism Act 2011.
- 55 All duties arising out of the establishment of the Business Improvement Districts.
- 56 To designate any areas to be of special architectural or historical interest as conservation areas; to review and amend the boundaries and conclude Character appraisals and to formulate and prepare proposals for the preservation and enhancement of those areas.

**The following matters are, in addition, delegated to the Head of Economic Development and Housing:**

- 57 To exercise the Council's function in relation to housing including:
  - a) the determination of any applications for grant assistance pursuant to the legislation listed in Appendix 1 to Table 5;
  - b) the exercise of the Council's enforcement powers under the legislation listed in Appendix 1 to Table 5 in relation to private sector housing;
  - c) to authorise the implementation of any action necessary to recover monies due to the Council as a result of its exercise of the powers contained in legislation listed in the Appendix 1 to Table 5:

- d) to investigate and determine all applications made to the Council under its powers and duties to deal with homelessness in the legislation described in Appendix 1 to Table 5:
  - e) to authorise any expenditure required in the exercise of the Council's functions to assist the homeless.
  - f) To respond on the Council's behalf to requests for consent to alterations to the Articles of Association of Large Scale Voluntary Transfer companies and Arms Length Management Organisations.
58. To carry out the Council's functions in relation to permanent gypsy, roma and traveller sites under the legislation listed in Appendix 1 to Table 5, including allocation of sites, site management, rent recovery and tenant support.

**The following matters are, in addition delegated to the Head of Culture and Sport:**

- 59. To authorise suitably qualified and competent staff within Culture and Sport and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5
- 60. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions.
- 61. To exercise functions as Library Authority under relevant legislation referred to in Appendix 2 Table 5
- 62. In consultation with the Head of Legal and Democratic Services to take enforcement action under bye-laws relating to arts, libraries and museums.
- 63. To approve agency agreements where financial transactions are not required

**The following matters are, in addition delegated to the Head of Direct Services:**

- 64. To exercise the Council's functions relating to provisions of the Highways Act 1980 as set out in Schedule 1 to the Local Authorities (Functions and Responsibilities) (England) 2000 Regulations.
- 65. To authorise suitably qualified and competent staff within Direct Services and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5

66. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions
67. To authorise suitably qualified and competent staff within Direct Services to discharge the regulatory and enforcement functions of the Council under the relevant legislation set out in Appendix 2 Table 5
68. To exercise, in consultation with the Head of Legal and Democratic Services the Council's enforcement functions including the institution of legal proceedings under relevant legislation set out in Appendix 2 to Table 5 and all associated secondary legislation as amended from time to time.
69. To issue simple and conditional cautions to persons guilty of criminal offences under the legislation as referred to in Appendix 2 to Table 5 to this Table and in accordance with PACE and the Home Office Guidance
70. To exercise functions as Waste Collection Authority under relevant legislation referred to in Appendix 2 to Table 5
71. In consultation with the Head of Legal and Democratic Services, to make, confirm, amend, vary, modify, consolidate and revoke orders under the legislation referred to in Appendix 2 to Table 5
72. To manage the Council's allotments which includes responsibility for the grant, renewal and termination of allotment tenancies

**The following matters are, in addition delegated to the Head of Projects and Business Services:**

73. To authorise suitably qualified and competent staff within Projects and Business Services and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5
74. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions.
75. To exercise functions as Waste Disposal Authority under relevant legislation referred to in Appendix 2 to Table 5 to this table

**The following matters are, in addition delegated to the Head of Technical Services:**

76. To exercise all the Council's functions relating to provisions of the Highways Act 1980 as set out in Schedule 1 to the Local Authorities (Functions and Responsibilities) (England) 2000 Regulations

77. To authorise suitably qualified and competent staff within Technical Services and other persons acting on behalf of the Council, for the purposes of discharging duties and powers under the relevant legislation referred to in Appendix 2 to Table 5
78. In consultation with the Head of Legal and Democratic Services to review and update the list of legislation contained within Appendix 2 to Table 5 to reflect new or modified statutory provisions.
79. To authorise suitably qualified staff within Technical Services to discharge the regulatory and enforcement functions of the Council under the relevant legislation set out in Appendix 2 to Table 5.
80. To exercise, in consultation with the Head of the Legal and Democratic Services the Council's enforcement functions, including the institution of legal proceedings, under legislation set out in Appendix 2 to Table 5 and all associated secondary legislation as amended from time to time.
81. In consultation with the Head of Legal and Democratic Services, to make, confirm, amend, vary, modify, consolidate and revoke orders under the legislation referred to in Appendix 2 to Table 5.
82. To exercise the functions of land drainage and Lead Local Flood Authority under the relevant legislation referred to in Appendix 2 to Table 5.
83. To exercise functions as Highway Authority under relevant legislation referred to in Appendix 2 to Table 5 including the following:
  - i) Power to enforce all the provisions of and initiate legal proceedings under all the sections of the Highways Act 1980;
  - ii) To exercise the Council's powers under the Durham City Council Act 1985 in relation to the temporary closure of footpaths;
  - iii) To grant street works license under section 50 of the New Roads and Street Works Act 1991
  - iv) To issue fixed penalty notices to statutory undertakers who fail to serve correct notices under the New Roads and Street Works Act 1991 to carry out works on the road network

## **Appendix 1 to Table 5**

Acquisition of Land Act 1981  
Anti-Social Behaviour Act 2003  
Anti-Social Behaviour, Crime and Policing Act 2014  
Building Act 1984  
Chronically Sick and Disabled Persons Act 1970  
Commons Act 1876  
Commons Act 2006  
Commons Registration Act 1965  
Countryside and Rights of Way Act 2000  
Criminal Justice and Public Order Act 1994  
Durham City Council Act 1985  
Electricity at Work Act 1989  
Environmental Protection Act 1990  
Environment Act 1995  
Fire Safety and Places of Sport Act 1987  
Forgery and Counterfeiting Act 1981  
Fraud Act 2006  
Growth and Infrastructure Act 2013  
The Hedgerows Regulations 1997  
Highways Act 1980  
Home Energy Conservation Act 1997  
Homelessness Act 2002  
Housing Act 1985  
Housing Act 1988  
Housing Act 1996  
Housing Act 2004  
Housing and Regeneration Act 2008  
Housing Grants Construction and Regeneration Act 1996  
Inclosure Act 1857  
Land Compensation Act 1973  
Landlord and Tenant Act 1985  
Leasehold Reform Housing and Urban Development Act 1993  
Local Democracy, Economic Development and Construction Act 2009  
Local Government Act 1972  
Local Government Act 2003  
Local Government and Housing Act 1989  
Local Government, Planning and Land Act 1980  
Local Government (Miscellaneous Provisions) Act 1982  
Local Government (Miscellaneous Provisions) Act 1976  
Localism Act 2011  
Local Transport Act 2008  
Mobile Homes Act 2013  
National Parks and Access to the Countryside Act 1949  
Natural Environment and Rural Communities Act 2006  
New Roads and Street Works Act 1991  
Open Spaces Act 1906  
Party Wall etc. Act 1996  
Planning and Compulsory Purchase Act 2004

Planning (Hazardous Substances) act 1990  
Planning (Listed Buildings and Conservation Areas) Act 1990  
Planning Act 2008  
Private Security Industry Act 2001  
Proceeds of Crime Act 2002  
Protection from Eviction Act 1977  
Railway Clauses Consolidation Act 1985  
Regulatory Reform (Housing Assistance) (England and Wales) Order 2002  
Road Traffic Regulation Act 1984  
Safety of Sports Grounds Act 1975  
The Conservation of Habitats and Species Regulations 2010  
Town and Country Planning Act 1990  
Town and Country Planning (Use Classes Order) 1987  
Town and Country Planning (Control of Advertisements) (England) Regulations 2007  
Town and Country Planning (Environmental Impact Assessment) Regulations 2011  
Town and Country Planning (General Permitted Development) Order 1995  
Town and Country Planning (Development Management Procedure) (England) Order 2010  
Town and Country Planning (Local Planning) (England) Regulations 2012  
Town Police Clauses Act 1847  
Traffic Management Act 1984  
Traffic Management Act 2004  
Transport Act 1985  
Transport Act 2000  
Warm Homes and Energy Conservation Act 2003  
Wildlife and Countryside Act 1981

## **Appendix 2 to Table 5**

Administration of Justice Act 1970  
Allotments Act 1922, 1925, 1950  
Animal Act 1971  
Animal Welfare Act 2006  
Anti-Social Behaviour Act 2003  
Anti-Social Behaviour, Crime and Policing Act 2014  
Burial Act 1853  
Burial Act 1857  
Civic Amenities Act 1967  
Clean Neighbourhoods and Environment Act 2005  
Coast Protection Act 1949  
Conservation of Habitats and Species Regulations 2010  
Construction Design and Management (CDM) Regulations 2015  
Control of Horses Act 2015  
Control of Pollution (Amendment) Act 1989  
Control of Pollution Act 1974  
Copyright, Designs and Patents Act 1988  
Countryside Act 1968  
Countryside and Rights of Way Act 2000  
Cremation Act 1902, 1952  
Crime and Disorder Act 1998  
Criminal Damage Act 1971  
Criminal Justice and Police Act 2001  
Criminal Justice and Public Order Act 1994  
Dangerous Dogs Act 1991  
Deregulation Act 2015  
Durham City Council Act 1985  
Electricity At Work Regulations 1989  
Environment Act 1995  
Environmental Protection Act 1990  
Firearms Act 1968  
Flood and Water Management Act 2010  
Hazardous Waste (England and Wales) Regulations 2005  
Health and Safety at Work Act 1974  
Highways Act 1980  
Household Waste Recycling Act 2003  
Housing Grants, Construction and Regeneration Act 1996  
Land Drainage Act 1991 and 1994  
Landfill (England and Wales) Regulations 2002  
Local Authorities' Cemeteries Order 1977  
Local Democracy, Economic Development and Construction Act 2009  
Local Government Act 1972  
Local Government (Miscellaneous Provisions) Act 1976  
Local Government (Miscellaneous Provisions) Act 1982  
Local Transport Act 2008  
Localism Act 2011  
Management of Health and Safety at Work Regulations 1999  
Museums and Galleries Act 1992

National Parks and Access to the Countryside Act 1949  
Natural Environment and Rural Communities Act 2006  
New Roads and Street Works Act 1991  
Occupiers Liability Act 1984  
Offender Management Act 2007  
Open Spaces Act 1906  
Parochial Registers and Records Measure 1978  
Police Reform Act 2002  
Public Health Acts Amendment Act 1907  
Public Health Act 1925  
Public Health Act 1936  
Public Health Act 1961  
Public Libraries and Museums Act 1964  
Refuse Disposal (Amenity) Act 1978  
Registration of Burials Act 1864  
Road Traffic Act 1988  
Road Traffic Regulations Act 1984  
Road Traffic (Temporary Restrictions) Act 1991  
Road Traffic Regulation (Special Events) Act 1994  
Safety Sports Ground Act 1975  
Small Holdings and Allotments Act 1908  
Sporting Events (Control of Alcohol etc) Act 1985  
Theatres Act 1968  
Town and Country Planning Act 1990  
Town Improvement Clauses Act 1847  
Town Police Clauses Act 1847  
Traffic Calming Act 1992  
Traffic Management Act 2004  
Transport Act 1968, 1981, 1985, 2000  
Waste Minimisation Act 1998  
Waste Batteries and Accumulators Regulations 2009  
Waste Electrical and Electronic Equipment Regulations 2013  
Waste (England and Wales) Regulations 2011  
Weeds Act 1959  
Wildlife and Countryside Act 1981

## **Schedule 6**

### **Table 6**

#### **Delegations to the Corporate Director of Resources**

##### **Executive Functions**

Subject to the requirement set out below and in compliance with the provisions of Table 1 above the Corporate Director, Resources is authorised to discharge any function of the Executive in relation to:

- The proper administration of the Council's financial affairs
- The provision of Legal and Democratic Services to the Council
- Human Resources and Organisational Development Services
- ICT Services
- Coroners
- Land charges
- The Registration of Births, Deaths and Marriages

In carrying out these delegated functions the Corporate Director will agree arrangements for consultation with the relevant Cabinet Portfolio Member(s) and will refer to the Executive for consideration and decision those matters directed by the said Member(s)

##### **Specific and Non-Executive Delegations**

###### **Finance and Corporate Services**

1. To establish an appropriate Debt Management Strategy and Policy and to implement appropriate action to ensure the collection of revenue, council tax and business rates.
2. The administration of housing benefits, including Discretionary Housing Payments and the administration of the Local Council Tax Support Scheme (from 1 April 2013).
3. The provision, operation and commissioning of a Welfare Rights and Citizens Advisory Service.
4. To exercise the budgetary control functions referred to the Corporate Director under the Council's Financial Procedure Rules.
5. To arrange all borrowings, financing and investment in line with the Council's Treasury Management Policy Statement.
6. To maintain an effective internal audit service.
7. To act as lead officer for the Audit Committee.

8. To act as the Risk Management Officer Champion and ensure appropriate risk management arrangements are in place across the Council.
9. To act as lead officer for maintaining effective corporate governance arrangements and the preparation of the Annual Governance Statement
10. To make appropriate banking arrangements on behalf of the Council.
11. To insure against risks where this is considered appropriate.
12. The operation of the Council's accounting systems and payroll.
13. To authorise the release of funds from contingencies as set out in the Revenue Budget and to forecast and to manage the Council's Collection Funds, making adjustments to payments to principal precepting bodies as appropriate.
14. To establish such reserves as required and review them for both adequacy and purpose on a regular basis.
15. To act as Proper Officer for the purposes of the following provisions of the Local Government Act 1972:
  - (a) Section 115(2) (Accountability of Officers);
  - (b) Section 146 (Transfer of Securities on Alteration of Area Etc.)
16. To write off debts.
17. To deal with the payment of Coroner's remuneration and expenses.
18. To ensure appropriate financial arrangements across the Council.
19. To approve premises for the solemnization of marriages and the registration of civil partnerships.
20. To appoint Assistant Coroners in accordance with the provisions of the Coroners and Justice Act 2009.
21. To authorise expenditure within the approved budget for civic hospitality.
22. To agree the terms of loans permitted under law.
23. To make a statutory declaration of local authority mortgage interest.
24. To respond to notices in relation to EU financial sanctions.
25. To receive and respond to expressions of interest under Community Right to Challenge, under Part 5, Chapter 2 of the Localism Act 2011.

26. To forecast yield from Business Rates including the completion and submission to Government of the NNDR 1 and NNDR 3 returns, factoring the implications of the Business Rate Retention Scheme (from 1 April 2013) into the Medium Term Financial Plan and maintaining a Collection Fund in accordance with proper accounting practice.
27. To establish a Council Tax Discretionary Reduction Policy and Business Rates Hardship Relief Policy and administer applications for relief under Section 13A(1)(c) of the Local Government Finance Act 1988.
28. To liaise with the Schools Forum on School Funding formula allocations and to advise Council on formula changes to ensure compliance with Department for Education guidance and regulations and the proper administration of Dedicated Schools Grant allocations.
29. To apply to the Court of Protection for Deputyship or single orders related to finance and property.
30. To waive or reduce charges for care services in individual cases.
31. To disregard the value of a property in a financial assessment.
32. To ensure appropriate arrangements are in place in respect of the effective administration of pooled DCC and NHS monies included within the Durham Better Care Fund.
33. In relation to the Pension Fund, to exercise the County Council's function as administering authority relating to the Local Government Pension Scheme including, but not restricted to, the following:
  - (a) To ensure that Pension Fund complies with the Local Government Pension Scheme Regulations and all other legislation that governs the administration of the Pension Fund.
  - (b) To exercise discretions the Council as administering authority has, under the regulations governing the Local Government Pension;
  - (c) To administer the financial affairs of the Pension Fund;
  - (d) To agree the write-off of bad debts;
  - (e) To take any decision relating to the investment or management of the Pension Fund that cannot reasonably await the next meeting of the Pension Fund Committee.
  - (f) To authorise, in cases of urgency, the taking of any action by a Manager of the Pension Fund that is necessary in order to protect the interests of the Fund.

- (g) To maintain all necessary accounts and records in relation to the Pension Fund, including the preparation of the Annual Accounts;
- (h) To ensure that appropriate arrangements for the administration of benefits are in place, including the calculation of and payment of benefits, and collection of contributions;
- (i) To manage the triennial valuation of the Pension Fund including the preparation of membership data for actuarial valuation purposes.
- (j) To ensure appropriate Additional Voluntary Contribution arrangements are in place;
- (k) To pay death grants in accordance with the agreed Death Grant Procedures
- (l) To approve the payment of Dependents' Pensions in accordance with the agreed procedures
- (m) To maintain the system of internal control.
- (n) To accept for admission into the Pension Fund employees of authorities and bodies as prescribed in Regulations;
- (o) To deal with stage 2 appeals under the dispute procedure for the Local Government Pension Scheme.
- (p) To set the appropriate investment objectives and the strategic asset allocation taking into consideration the Pension Fund's liabilities as calculated by the Pension Fund's Actuary;
- (q) To monitor and review the investments made by the investment managers and to review their performance against established benchmarks. To report on the Investment Managers' performance to each meeting of the Pension Fund Committee;
- (r) To manage the Pension Fund's cash flow and allocate funds between investment managers in order to ensure that the Pension Fund's strategic asset allocation is maintained and invest the residual cash balances.
- (s) To manage the cash balances not allocated to Investment Managers in accordance with the Treasury Management Strategy and Policy approved by the Pension Fund Committee;
- (t) To arrange and authorise the provision of appropriate Member training, including the attendance at conferences and other similar pension fund related events by Members of the Pension Fund Committee.

- (u) To prepare and submit the necessary Policy Statements to Pension Fund Committee and to arrange for the implementation and review of those statements, strategies, policies and procedures, as required. These include the Funding Strategy Statement
- (v) To prepare and submit the necessary plans and principles to the Pension Fund Committee and to arrange for the implementation and review of those plans and principles, as required. These include the Pension Fund Annual Report and the Statement of Investment Principles.
- (w) To review the policy on social, environmental and ethical matters on the exercise of rights, including voting rights;
- (x) To produce as part of the Funding Strategy Statement, an assessment of all the specific risks that can be identified in relation to the management of the Pension Fund.

### **Human Resources and Organisational Development**

- 34. To implement the Council's decisions in relation to Single Status and Job Evaluation.
- 35. To approve the regrading of posts up to and including Head of Service level.
- 36. To offer alternative employment to any permanent employee who becomes surplus to the requirements of the Service or whose employment is at risk through reasons of ill health or other incapacity.
- 37. To determine applications for the extension of sick pay.
- 38. To approve applications for leave of absence in cases not covered by the Council's policies.
- 39. To agree variations to the Council's scheme for payments towards removal expenses, lodging allowances and legal fees for new employees.
- 40. To approve overtime payments for employees above spinal column point 28.
- 41. To authorise redundancy payments to school staff after consultation with the Head of Corporate Finance.
- 42. To coordinate the Member Training and Development Programme including:
  - (a) management of the approved Member Training and Development Budget and
  - (b) authorisation of Member attendance at conferences, seminars and other training and development events in consultation with the Leader and the Head of Legal and Democratic Services.

43. To decide, taking into account the opinion of an independent registered medical practitioner, whether under the Local Government Pension Scheme Regulations, a member is eligible for an ill health pension and if eligible, what level of ill health pension the scheme member should be awarded.
44. To exercise discretions the Council as an employer has, under the regulations governing the Local Government Pension.
45. To negotiate and agree local agreements changing staff terms and conditions of employment.
46. To formulate and implement policies relating to employed staff.

### **Legal and Democratic Services**

The following matters are delegated to the Head of Legal and Democratic Services:

47. To act as Proper Officer for the purposes of the Local Government Acts 1972 and 2000 and all Regulations made there under other than those Proper Officer functions delegated to the Corporate Director, Resources in connection with the administration of the Council's financial affairs. This delegation shall not derogate from the general delegation under Table 1 paragraph 8.
48. (a) To act as Returning Officer for County Council Elections in accordance with Section 35 of the Representation of the People Act 1983 (the 1983 Act).
- (b) To act as Electoral Registration Officer for the County in accordance with Section 8 of the 1983 Act.
49. To carry out the Proper Officer functions relating to the freedom of the City under the Durham City Council Act, 1985.
50. To act as Proper Officer for the Registration of Births, Deaths and Marriages Service pursuant to Schedule 29 paragraph 41 of the Local Government Act 1972 including administration of the arrangements for the licensing of premises under the Marriage Act and the appointment of staff.
51. To amend the Constitution where necessary to reflect changes in the law or decisions of council bodies or to correct inaccuracies.
52. (a) To prepare, negotiate and agree all legal documentation in relation to all land and property in the Council's ownership or for the acquisition of land and property by the Council.
- (b) To prepare, negotiate and agree all legal documentation other than those contained in sub-paragraph (a) relating to or affecting the functions of the Council.

- (c) To sign or attest the Council's seal in all legal documents referred to in sub-paragraphs (a) and (b) and all other legal documentation intended to give effect to decisions of the Council.
53. (a) To prepare, negotiate and agree all legal documentation in relation to all land and property held by the Council as a trustee.
- (b) To sign or attest the Council's seal to all documents referred to in sub-paragraph (a)
54. To sign any notice, demand or other document on behalf of the Council in connection with any actual or contemplated legal or enforcement proceedings.
55. (a) Generally, to institute, defend and conduct any legal proceedings relating to the Council's functions or affecting the property, rights or interests of the Council.
- (b) To authorise named officers to appear on behalf of the Council in legal proceedings in the Magistrates' Court pursuant to Section 223 Local Government Act 1972.
56. To settle any action in any court or tribunal in which the Council is a party or where legal proceedings are indicated providing that any settlement exceeding £50,000 will be subject to prior consultation first with the Corporate Director, Resources as s151 Officer and then with the Leader or Deputy Leader of the Council except in cases of urgency where the settlement is made on the advice of Counsel.
57. To settle claims from staff for damage to personal property in accordance with the Council's policies.
58. In consultation with the Chairman of the Corporate Issues Overview and Scrutiny Committee, to make payments or provide other benefits in cases of maladministration in accordance with Section 92 of the Local Government Act 2000 (as amended).
59. To:
- (a) appoint Members as proxies to attend and vote at shareholders meetings of any company of which the Council is a shareholder and
  - ~~(b) give consent to written resolutions of any company of which the Council is a shareholder.~~
  - (b) undertake the role of company secretary in any company for which the Council provides secretarial or administrative services.
60. In consultation with the Chief Executive, to make appointments of Members to local and other outside bodies which are not the subject of report to the

Council or the Executive, in consultation with the respective political group leaders.

61. To appoint Review Boards under Regulations under Section 34(4) (Determination of Claims and Reviews) of the Social Security Act 1998.
62. To make arrangements in relation to appeals against the exclusion of pupils from maintained schools.
63. To make arrangements pursuant to Sections 94(1), (1A) and (4) of the Schools Standards and Framework Act 1998 (Admission Appeals).
64. To make arrangements pursuant to Section 95(2) of the Schools Standards and Framework Act 1998 (Children to whom Section 87 applies; Appeals by Governing Bodies).
65. In consultation with the Corporate Director, Neighbourhood Services and the Chairman and Vice-Chairman of the Statutory Licensing Committee or General Licensing and Registration Committee as appropriate, to determine that a particular application shall be considered by the Statutory Licensing Committee or the General Licensing and Registration Committee rather than a Sub-Committee.
66. To consider and respond to representations made by members of the public in relation to a decision to hold a meeting or part of that meeting in private.
67. To discharge the Council's functions in relation to voluntary registrations of village greens as set out in section 15(8) Commons Act 2006.
68. To discharge the Council's functions set out in Part 1 of the Commons Act 2006 and the Commons Registration Act 1965 with the exception of determinations under section 15(1) of the Commons Act 2006.
69. To appoint an inspector to hold an inquiry into applications to register land as town or village green where appropriate.
70. To discharge the Council's functions in relation to Local Land Charges.

## **Schedule 7**

### **Table 7**

#### **Delegations for Major Programmes**

In addition to the delegations granted in this part of the Constitution, Chief Officers are authorised from time to time to carry out functions relating to specific projects in collaboration with various Cabinet Portfolio holders. The delegations to the Chief Officers are contained in the relevant Cabinet reports relating to the projects.

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## **Appendix 5: Amendments to Contract Procedure Rules**

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### **Contract Procedure Rules**

#### **1. INTRODUCTION**

##### **1.1 What are Contract Procedure Rules?**

- 1.1.1 The Contract Procedure Rules (CPRs) provide the framework for procurement activity across the Council, setting out how contracts for goods, works, services and utilities should be put in place and managed, and detailing the record keeping and reporting requirements related to procurement activity. They apply to all Service Groupings across the Council.
- 1.1.2 To avoid the need for regular amendment due to changes in post titles generic terms are included for officers as follows:
- The term “Chief Officers” refers to the Council’s Chief Executive, the Corporate Directors and the Assistant Chief Executive.
  - The term “Procurement Officer” refers to any officer of the Council responsible for carrying out a procurement exercise – not just to officers with “Procurement Officer” as their job title. Any Council officer undertaking a procurement exercise is a “Procurement Officer” for the purposes of these rules.
  - In addition to the above definitions, the general term “officer” refers to any employee of the Council.
- 1.1.3 The Contract Procedure Rules should not be seen in isolation, but rather as part of the overall regulatory framework of the Council as set out in the Constitution.
- 1.1.4 The content of these CPRs is supported by additional guidance and documentation contained in the Advice Centre in the “Procurement Catalogue” section of the Council intranet (henceforth referred to as the “Advice Centre”).

#### **2. BASIC PRINCIPLES**

- 2.1 All procurement procedures must:
- Realise value for money by achieving the optimum combination of whole life costs and quality of outcome,
  - Be consistent with the highest standards of integrity,
  - Operate in a transparent manner,

- Ensure fairness in allocation of public contracts,
- Support all relevant Council priorities and policies including the Medium Term Financial Plan,
- Comply with the Council's Corporate Strategy for Commissioning and Procurement, and the Sustainable Commissioning and Procurement Policy, and:
- Comply with all legislative requirements including European Union (EU) Treaty principles, which in relation to procurement are as follows:
  - transparency - contract procedures must be transparent and contract opportunities should generally be publicised;
  - equal treatment and non-discrimination - potential suppliers must be treated equally;
  - proportionality - procurement procedures and decisions must be proportionate;
  - mutual recognition - giving equal validity to qualifications and standards from other Member States, where appropriate.

2.2 These Rules shall be applied to the contracting activities of any partnership for which the Council is the accountable body unless the Council expressly agrees otherwise.

### **3. OFFICER RESPONSIBILITIES**

#### **3.1 Officers**

3.1.1 Officers will comply with these Contract Procedure Rules (CPRs), the Council's Constitution and with all UK and EU legal requirements. Officers must ensure that any agents, consultants and contractual partners acting on their behalf also comply.

3.1.2 Officers will:

- have regard to the guidance in the Advice Centre;
- keep the records required by Rule 18 of these CPRs;
- take all necessary procurement, legal, financial and professional advice, taking into account the requirements of these CPRs;
- prior to letting a contract on behalf the Council, check whether:

o the Council already has an appropriate contract in place in the Corporate Contracts Register, or:

o an appropriate national, regional or other collaborative contract is already in place.

Where the Council already has an appropriate contract in place, then this **must** be used unless it can be established that the contract does not fully meet the Council's specific requirements in this particular case, and this is agreed following consultation with Corporate Procurement.

Where an appropriate national, regional or collaborative contract is available, consideration should be given to using this, provided the contract offers value for money.

- ensure that when any employee, either of the Council or of a service provider, may be affected by any transfer arrangement, then any Transfer of Undertaking (Protection of Employment) (TUPE) issues are considered and legal and HR advice from within the Council is obtained prior to proceeding with the procurement exercise.

3.1.3 Failure to comply with any of the provisions of these CPRs, the Council's Constitution or UK or EU legal requirements may be brought to the attention of the Monitoring Officer, Head of Internal Audit, or relevant Corporate Director as appropriate. Depending on the nature of the non-compliance this may result in disciplinary action being taken.

3.1.4 In cases of non-compliance with these CPRs, the ~~Corporate Procurement Manager~~ Chief Procurement Officer may, following consultation with the officer's Head of Service, temporarily or permanently suspend any officer's access to the Oracle system, e-tendering system, and use of purchasing cards.

3.1.5 In addition, in cases of serious non-compliance, the ~~Corporate Procurement Manager~~ Chief Procurement Officer may temporarily suspend any officer's delegated authority to place contracts, and refer the matter to the Council's Chief Internal Auditor and Corporate Fraud Manager for further investigation.

## **3.2 Chief Officers**

3.2.1 Chief Officers will:

- ensure their Service Grouping complies fully with the requirements of these CPRs,
- ensure contracts are recorded in the corporate Contracts Register as held and maintained by Corporate Procurement, through the use of the Procurement Acceptance Report as detailed in Rule 18,

- ensure that their Service Grouping provides the requisite information to allow the Council to maintain the Procurement Work Programme, including the submission of a Procurement Initial Request Form at as early a stage as possible.

Copies of the Procurement Acceptance Report and Procurement Initial Request Form are available from the Advice Centre.

#### **4. VARIATIONS TO CONTRACT PROCEDURE RULES**

- 4.1 Except where the Public Contracts Regulations (2015) apply, the Executive has the power to waive any requirements within these CPRs for specific projects.
- 4.2 Additionally, and where proceeding under a Variation does not breach the Public Contracts Regulations (2015), these Rules may be waived or varied where the circumstances are certified by the Corporate Director, Resources (advised as appropriate by the **Corporate Procurement Manager Chief Procurement Officer**) as meeting any of the following criteria:
- (a) with an organisation already engaged by the Council for a similar and related procurement and where there is significant benefit to extending the contract to cover this additional requirement, without exposing the Council to unacceptable risk;
  - (b) involving cases of genuine, unforeseen urgency, where it is not possible to comply with the CPRs;
  - (c) for the purchase of a work of art or museum specimen, to meet the specific requirements of an artistic, cultural, or performing arts event, or otherwise for the protection of exclusive rights which cannot be procured competitively due to the nature of the requirement;
  - (d) in relation to time-limited grant funding from an external body, where the time limitations will not allow a competitive procurement process to be completed and where the grant conditions allow this; or
  - (e) where relevant UK or EU legislation not otherwise referred to in these CPRs prevents the usual procurement process from being followed.
- 4.3 The appropriate Officer must complete an Application for Variation, as contained within the Advice Centre, which must be authorised by their Head of Service before being submitted to Corporate Procurement. Corporate Procurement will review the Application for Variation before obtaining a decision from the Corporate Director, Resources (or other officer as delegated).

- 4.4 Committing to expenditure with a supplier, without a valid contract or a Variation in place, is a breach of these CPRs. Therefore, where a Variation is being sought retrospectively, for expenditure to which a Service Area has already, the retrospective application must be authorised by their Corporate Director, to make them aware of the breach, before it is submitted to Corporate Procurement.
- 4.5 Every Variation form will be recorded on a master register to be maintained by Corporate Procurement.
- 4.6 Where a Variation is being applied for by Corporate Procurement, it must also be scrutinised by Internal Audit, to ensure the robustness of the procedure.
- 4.7 The use of e-procurement does not negate the requirement to comply with all elements of these CPRs. The circumstances under which an exemption to the use of e-procurement can be requested are detailed in Rule 12.

## **5. EXEMPTIONS**

- 5.1 The following contracts and/or payments are exempt from these CPRs:
- (a) Contracts for the execution of mandatory works by statutory undertakers, where the statutory undertaker is the only body which can perform the works. Such statutory undertakers include:
- i. British Telecom – specifically for telecoms infrastructure works;
  - ii. Network Rail – specifically for works affecting the railway infrastructure;
  - iii. Northern Gas Networks – specifically for gas supply infrastructure works;
  - iv. Northern Powergrid – specifically for electricity infrastructure works;
  - v. Northumbria Water – specifically for water and sewerage infrastructure works;
  - vi. Highways England – specifically for works to the core road network under their management;

In addition, certain other organisations may be regarded as statutory undertakers in very specific circumstances, where works are required, involving disruption to, or re-siting of, existing infrastructure which they own and/or operate, particularly:

- vii. Any other telecoms provider for works to specifically-licensed mobile telecoms infrastructure which they own;
- viii. Any Independent Distribution Network Operator (IDNO) for works to electricity infrastructure they own.

Where fees are payable directly to a sub-contractor appointed by a statutory undertaker, and where the Council does not have

the option of appointing a contractor itself, and where the statutory undertaker's procurement process for selecting the named sub-contractor complies with any obligations under the Utilities Contracts Regulations 2016, then the named sub-contractor shall be treated as a statutory undertaker for the purpose of this Rule.

- (b) Fees payable to Ofsted for the inspection of a school, children's home, or other facility;
- (c) Fees payable to the Care Quality Commission under section 85(1) of the Health and Social Care Act 2008;
- (d) Fees payable to the Driver & Vehicle Licensing Agency and/or Drive & Vehicle Standards Agency (formerly VOSA) with regard to Council owned or operated vehicles;
- (e) Fees payable to the Disclosure & Barring Service;
- (f) Fees for TV licenses in Council owned or operated premises;
- (g) Fees payable to Public Health England with respect to the Child Death Notification Service;
- (h) Contracts for the carrying out of statutory public health funerals under the Part 3 of the Public Health (Control of Disease) Act 1984;
- (i) Contracts for the purchase of broadcasting time, including for the placing of radio and television advertising;
- (j) Contracts to provide sponsorship to events, awards schemes, or other promotional activity being organised by a third party;
- (k) Contracts of employment which make an individual a direct employee of the authority;
- (l) A staff secondment, where an employee of another organisation shall work on a Council project, on a temporary basis, but where they will not become an employee of the Council;

**NB:** While the CPRs do not apply to staff secondments, authorisation to proceed must be obtained from Human Resources, and the terms of the secondment appropriately documented.

- (m) Contracts for legal representation by a lawyer (advocate, barrister, or solicitor) in arbitration or conciliation proceedings, judicial proceedings before the courts, tribunals or public authorities of a EU member state or third country or before international courts, tribunals or institutions;

- (n) Payments for legal advice given by a lawyer in preparation for, or connected to, any of the proceedings mentioned in (m) above;
- (o) Payments for document certification and authentication services which may only be provided by notaries;
- (p) Payments for legal services provided by trustees or appointed guardians or other legal services, the providers of which are designated by a court or tribunal;
- (q) Fees payable to Phonographic Performance Ltd or PRS for Music, for the playing of recorded music in public, or for the use of the musical composition and lyrics in that recording;
- (r) Fees payable to Durham Constabulary to cover the cost of additional policing presence at public events or for temporary public safety purposes;
- (s) Appointment of independent planning inspectors nominated by the Royal Institute of Chartered Surveyors (RICS) under the NPIERS scheme;
- (t) Examination fees payable to a qualification awarding body for the certification of exam results only, for a professional or vocational qualification being undertaken by a Council Officer with the support of the Council;

**NB:** This exemption covers examination fees only, not the cost of any training or study materials, which remain subject to these CPRs;

- (u) Agreements regarding the acquisition, disposal, or transfer of land (to which the Financial Procedure Rules apply), or:
- (v) The payment of grants to third parties.

**NB:** While grants are not covered by these CPRs, there are rules on the awarding of grants which do need to be observed. The Council cannot simply choose to treat a procurement as a grant in order to avoid conducting a competitive process. Officers should follow any guidance contained in the Advice Centre.

- Which have been procured on the Council's behalf by a Central Purchasing Body, where the process followed is in line with the Public Contracts Regulations (2015) (e.g. the North East Procurement Organisation, another Pro5 Organisation, or Crown Commercial Service).
- To cover urgent special educational needs, urgent social care contracts or urgent operational needs, if in the opinion of the relevant Corporate Director it is considered to be in the Council's interests or necessary to

meet the authority's obligations under relevant legislation. Use of this exemption by the relevant Corporate Director must be followed up with a Procurement Acceptance Report as detailed in Rule 19.

- Those relating to residential and/or nursing care to a person or persons to whom the Council has a duty or power to provide under the Care Act 2014 and other relevant legislation relating to social care, health and mental health.

## **6. RELEVANT CONTRACTS**

6.1 All Relevant Contracts must comply with these CPRs. A Relevant Contract is any arrangement made by, or on behalf of, the Council for the supply of goods, or the carrying out of works or services.

These include arrangements for:

- The supply or disposal of goods,
- The hire, rental or lease of goods and equipment,
- The delivery of services, including (but not limited to) those related to:
  - the recruitment of staff
  - land and property transactions
  - financial and consultancy services

6.2 A contract awarded under a Variation to these CPRs (as per Rule 4) remains a Relevant Contract in all aspects other than those elements of the CPRs which were specifically waived.

## **7. RISK ASSESSMENT**

7.1 All procurements with a likely total value of £50,000 or greater, as well as lower value procurements where appropriate, must be supported by a risk assessment. This risk assessment must be carried out by the Service Area requesting the procurement, at the start of the procurement process.

7.2 The risk assessment process will identify where further specialist advice should be sought.

7.3 In order to ensure the Council meets its duties under the Public Services (Social Value) Act 2012, the risk assessment must include an appraisal of the opportunities to address social value outcomes through the proposed procurement.

7.4 Full details of the process to be followed, including the approved risk log, matrix, and social value options appraisal, are available in the Advice Centre.

## **8. PRE-TENDER MARKET TESTING AND CONSULTATION**

- 8.1 The Council may consult potential suppliers, prior to the issue of the Invitation to Tender or Request for Quotation, in general terms about the nature, level and standard of the supply, contract packaging and other relevant matters, provided this does not prejudice any potential organisation.
- 8.2 When engaging with potential suppliers, the Council must not seek or accept technical advice on the preparation of an Invitation to Tender or Quotation from anyone who may have a commercial interest in them, and where this may prejudice the equal treatment of all potential bidding organisations or distort competition.
- 8.3 In undertaking any market testing activities, the Officer responsible should refer to any guidance contained in the Advice Centre.

## **9. COMPETITION REQUIREMENTS**

### **9.1 Competition Requirements**

- 9.1.1 The Procurement Officer must establish the total value of the procurement including whole life costs and incorporating any potential extension periods which may be awarded.
- 9.1.2 Based on this value, quotations or tenders must then be invited, and appropriately advertised, in line with the financial thresholds detailed in the Advice Centre.
- 9.1.3 Where the Public Contracts Regulations (2015) apply (i.e. for any contracts likely to exceed the relevant OJEU threshold), the Procurement Officer must determine, prior to advertising, whether the contract is to be divided into lots. If it is decided not to subdivide the contract into lots, the reason for this decision must be recorded in the Procurement Acceptance Report required by Rule 19.
- 9.1.4 Where the Public Contracts Regulations (2015) apply (i.e. for any contracts likely to exceed the relevant OJEU threshold), the Procurement Officer shall consult Corporate Procurement to determine the procedure for conducting the procurement exercise.

### **9.2 Negotiation with a Single Supplier (below OJEU)**

- 9.2.1 In exceptional circumstances, a contract which will not exceed the relevant OJEU threshold may be awarded without a competitive procurement, via direct negotiation with a single supplier, following a similar process to the EU Negotiated Procedure without prior publication.
- 9.2.2 Such an award may **only** be made directly by, or with written approval from, Corporate Procurement, and **only** in the following circumstances:

- (a) for the purchase of goods which are patented or have such special technical characteristics that they may be considered unique, and where these goods are only available from one supplier.

(Note that the presence of a patent alone does not permit the use of this procedure – many patented products are available from more than one supplier);

Or:

- (b) for the execution of works or services involving unique, highly specialist knowledge or skills and where it can be demonstrated that only one organisation possesses the required knowledge or skills:

Or:

- (c) for the execution of works or services, where there is considerable benefit to the Council in negotiating with a particular proposed supplier, and where this does not expose the Council to unacceptable risk.

Or:

- (d) where an open or restricted Tender, or an openly-advertised Request For Quotation, has been undertaken, and in which no compliant bids were received.

9.2.3 The use of this process may be invalidated by prior negotiation or discussion (of any kind), between a Service Area and a proposed supplier, without the involvement of Corporate Procurement, where such negotiation may distort or restrict the potential market or otherwise prejudice the Council's position. Corporate Procurement reserves the right to refuse the award of a contract via this process where such unauthorised negotiation has taken place.

9.2.4 Contracts awarded under the provisions of this Rule may have a duration of no more than three years.

**NB** Note that when using Rule 9.2 above, no contract may be entered into until the relevant notification has been issued by Corporate Procurement, as set out in Rule 18.3.

## **10. INVITATION TO TENDER / REQUEST FOR QUOTATION**

10.1 Invitations to Tender, Requests for Quotation, **Further Competitions** and Quick Quotes must be issued in accordance with the requirements of these CPRs, with particular attention to Rule 7, Rule 9 and Rule 17. The Procurement Officer must ensure they are familiar with, and adhere to, the minimum current threshold values, as published on the Advice Centre.

- 10.2 Above the single quotation threshold of £5,000, all Invitations to Tender, Requests for Quotation and Quick Quotes must be issued via the Council's e-tendering system. Procurement documents must be issued via the e-tendering system.
- 10.3 In exceptional cases, where specific circumstances mean that a procurement process cannot be carried out electronically or, for example, where there is a failure of the electronic system, permission to conduct a procurement process by alternative means must be obtained from the ~~Corporate Procurement Manager~~ Chief Procurement Officer using the relevant exemption form. This can be found in the Advice Centre. This exemption must be sought before requesting quotations or tenders.
- 10.4 When advertising a contract with a value above £50,000, the Procurement Officer will also ensure that a notice is placed on the Contracts Finder website, as required by Regulations 106 and 110 of the Public Contracts Regulations (2015).

## 11. SHORTLISTING

- 11.1 Any shortlisting (i.e. ~~Pre-Qualification Selection~~ Questionnaire (SQ)) must have regard to the economic, financial and technical standards relevant to the contract and the Evaluation Criteria. Special rules apply to contracts covered by the Public Contracts Regulations (2015), and must be issued using the Council's version of the Government Standard Selection Questionnaire template. Officers should refer to any further guidance contained in the Advice Centre.
- 11.2 Where the likely value of the procurement is less than the OJEU threshold for *goods and services* (even where the contract is not for goods or services), no shortlisting phase or SQ may be used.
- 11.3 Where applying financial turnover threshold as a shortlisting criteria, the threshold used must be no more than two times the estimated total value of the proposed contract.
- 11.4 When shortlisting as part of a restricted tender process, a minimum of five bidding organisations should be shortlisted to tender, except in cases where fewer than five compliant SQ responses are received. Should fewer than five compliant responses be received, the Procurement Officer may elect to continue the procurement process if they are satisfied that value for money can still be achieved.

## **12. SUBMISSION, RECEIPT AND OPENING OF TENDERS / QUOTATIONS**

### **12.1 Tenders**

12.1.1 Bidding organisations must be given an adequate period in which to prepare and submit a proper quotation or tender, consistent with the complexity of the contract requirements. Where the Public Contract Regulations (2015) apply, Chapter 2 of the Regulations lays down specific minimum time periods for tenders.

12.1.2 As in Rule 10 (above) tenders, except those which have been approved as exempt from electronic tendering, must be submitted electronically via the e-tendering system. Tenders submitted by any other means must not be accepted.

### **12.2 Quotations**

12.2.1 As in Rule 10 (above), responses to Requests for Quotation and Quick Quotes must be submitted electronically via the e-tendering system, except where specifically exempt under Rule 10.3. Quotations submitted by any other means must not be accepted.

### **12.3 Electronic Arrangements**

12.3.1 Quotations, Further Competition bids and Selection Questionnaires which are received electronically via the e-tendering system will be opened by the Procurement Officer. The system will not allow any quotations to be opened until the allocated return date / time has passed.

12.3.2 Tenders which are received electronically via the e-tendering system will be opened by a representative from Legal & Democratic Services.

### **12.4 Hard Copy Arrangements**

12.4.1 In the event that 'hard copy' tenders are to be accepted (see Rule 10 and Rule 12.1.2 of these CPRs for guidance) these must be submitted, sealed, in the envelope provided with the procurement documents and sent by recorded delivery, addressed to the Head of Legal & Democratic Services at the Council's registered business address, without any mark revealing the bidding organisation's identity. Hard copy tenders submitted by any other means will not be accepted.

12.4.2 All hard copy tenders will be held by the Head of Legal & Democratic Services until the tender opening date/time has been reached.

12.4.3 All hard copy tenders for the same contract will be opened at the same time by a representative of the Chief Officer who invited the tenders and a representative from Legal & Democratic Services. A register of tenders received will be kept by Legal & Democratic Services and will be initialled on each occasion by the officers who are present at the opening of the tenders.

12.4.4 In the event that hard copy quotations are to be accepted (see Rule 10 and Rule 12.2.1 of these CPRs for guidance) these must be submitted in a plain envelope marked 'Quotation for....' followed by a description of the goods, works or services being procured.

12.4.5 Hard copy quotations will be received directly by Service staff. All quotations must be opened together once the official return date / time has been passed.

## **12.5 Late Submissions**

12.5.1 Tenders, Quotations or Selection Questionnaires which are received after the stated deadline shall be automatically rejected, except in the following circumstances:

- Where the delay in submission is the result of a failure of the electronic system, and where this can be verified to be the case, or:
- Where only one submission is received, and where this submission has arrived late, but is compliant in every other respect, and with the approval of Corporate Procurement.

## **13. EVALUATION CRITERIA AND STANDARDS**

### **13.1 Evaluation Criteria**

13.1.1 In any procurement exercise the successful bid should be the one which either:

- Offers the lowest price, or:
- Offers the most economically advantageous balance between quality and price.

In the latter case, the Council will use criteria linked to the subject matter of the contract to determine that an offer is the most economically advantageous, for example: price, quality, technical merit, aesthetic and functional characteristics, environmental characteristics, running costs, cost effectiveness, after-sales service, technical assistance, delivery date, delivery period and period of completion.

13.1.2 Issues that are important to the Council in terms of meeting its corporate objectives can be used to evaluate bids. The criteria can include, for example sustainability considerations, support for the local economy, or the use of subcontractors. The bidding organisations' approaches to continuous improvement and setting targets for service improvement or future savings could also be included. All criteria must relate to the subject matter of the contract, be in line with the Council's corporate objectives and must be objectively quantifiable and non-discriminatory.

13.1.3 These criteria should be assessed through either:

- Essential Criteria – assessed on a pass / fail basis, or:
- Technical Questions – assessed on a scored basis.

13.1.4 The procurement documentation should clearly explain the basis of the decision to bidding organisations, making clear how the evaluation criteria specified in the process will be applied, the overall weightings to be attached to each of the high-level criteria, how the high-level criteria are divided into any sub-criteria and the weightings attached to each of those sub-criteria.

## **13.2 Evaluation Panel**

13.2.1 The Procurement Officer should establish an evaluation panel for the assessment of any Technical Questions at shortlisting and/or Invitation To Tender stages. Each element of the evaluation may have its own panel, provided that all of the responses to each Technical Question are evaluated by the same panel members.

13.2.2 The panel should have at least two members. The Procurement Officer should act as moderator, and may also take part in the evaluation.

Officers should refer to any further guidance in the Advice Centre.

## **13.3 Standards**

13.3.1 Relevant British, EU and International standards which apply to the subject matter of the contract and which are necessary to properly describe the required quality must be included with the contract.

Officers should refer to any further guidance in the Advice Centre.

## **14. CLARIFICATION PROCEDURES**

14.1 The Council can ask bidding organisations for clarification of any details submitted as part of their bid. However, any such clarification must not involve changes to the basic features of the bidding organisation's submission.

14.2 Clarification questions must be raised via the e-tendering system. Bidders must also be asked to respond via the e-tendering system. In cases where an e-tender exemption has been granted under Rule 10.3, the Council should ensure any clarifications are requested in writing, or by e-mail, and bidding organisations asked to respond by the same means.

14.3 When requesting clarification, the Procurement Officer must follow any additional guidance contained in the Advice Centre.

## **15. EVALUATION, AWARD OF CONTRACT, AND DEBRIEFING OF ORGANISATIONS**

### **15.1 Evaluation**

15.1.2 The evaluation of bids must be conducted in accordance with the evaluation criteria set out in the procurement documents provided to bidding organisations. Evaluation should be conducted in line with Rules 13.1 and 13.2 above, and with regard any guidance detailed in the Advice Centre.

### **15.2 Award of Contract**

15.2.1 The Council is required to notify successful and unsuccessful bidders of the outcome of a procurement process, in writing, in as timely a fashion as possible. These written notifications should be transmitted via the e-tendering system unless a specific exemption has been granted under Rule 10.3.

15.2.2 Where procurement has been subject to the Public Contract Regulations (2015), the Alcatel Standstill (a 10 day standstill period before a contract can be awarded to allow an unsuccessful bidding organisation an opportunity to challenge the proposed contract award) shall be included in the procurement timetable, and observed before the contract can be awarded. Full information regarding the Alcatel Standstill is contained within the Advice Centre.

15.2.3 Decisions on award of contract must be made in accordance with the scheme of delegations in Part 3 of the Constitution.

### **15.3 Debriefing**

15.3.1 The written notifications sent to bidders, in accordance with Rule 15.2.1 above, shall include feedback explaining the outcome of the evaluation process, with specific reference to the evaluation criteria, so that bidders can understand why they were, or were not, successful.

15.3.2 The Procurement Officer should follow any additional guidance in the Advice Centre.

### **15.4 Notification of Awarded Contracts on Contracts Finder**

15.4.1 For all contract awards with a value of £25,000 or greater, the Procurement Officer shall issue a notice on the Contracts Finder website, giving details of the contract award, as required by Regulations 108 and 112 of the Public Contracts Regulations (2015). This includes any contracts awarded without prior advertisement, as well as contracts awarded via a Further Competition.

## **16. CONTRACT DOCUMENTS**

### **16.1 Format of Contract Documents**

16.1.1 The Council's harmonised procurement documents or conditions issued by a relevant professional body will be used. Where there is any deviation from these, the documents to be used must be reviewed by Legal Services before being issued. These are available from Corporate Procurement or Service Area procurement staff detailed in the Advice Centre.

### **16.2 Contract Signature**

16.2.1 Contract agreement must:

- (a) Where the contract is in the form of a deed, be made under the Council's seal and attested as required by the Constitution, or:
- (b) Where the contract is in the form of an agreement, either:
  - (i) be signed by at least two officers of the Council authorised as required by the Constitution, or:
  - (ii) be formalised by the sending of an award letter **and** the subsequent issuing of a purchase order.

The Procurement Officer should also follow any guidance on the use of deeds and agreements to form contracts found in the Advice Centre.

### **16.3 Legal Services Review of Tenders and Contracts**

16.3.1 To ensure the integrity of the procurement process:

- All proposed Invitations to Tender, Requests for Quotation or Quick Quote where they are not in compliance with the County Council's harmonised contract documentation or standard terms and conditions issued by a relevant professional body, will be reviewed by Legal Services.
- Any proposed Invitations to Tender which are the relevant OJEU advertising threshold, and which are deemed by Corporate Procurement to be of medium or high risk, must be reviewed by Legal Services.
- Any proposed contract where there is any deviation from the contract terms included in the invitation to tender must be reviewed by Legal Services.

## **17. APPROVED LISTS AND FRAMEWORK AGREEMENTS**

### **17.1 Approved Lists**

17.1.1 Approved lists must not be used where they are prohibited under the Public Contracts Regulations (2015).

17.1.2 Approved lists should only be used in accordance with the guidance contained in the Advice Centre.

## **17.2 Framework Agreements**

17.2.1 Framework Agreements are agreements between the Council and one or more suppliers for the provision of goods, works or services on agreed terms for a specific period, for estimated quantities against which orders may be placed if and when required during the contract period.

17.2.2 The term of a Framework Agreement must not exceed four years.

17.2.3 Where Frameworks are awarded to several organisations, contracts based on Framework Agreements may be awarded in one of two ways, as follows:

- Where the terms of the agreement are sufficiently precise to cover the particular call-off, by applying the terms laid down in the Framework Agreement without re-opening competition, or:
- Where the terms laid down in the Framework Agreement are not precise or complete enough for the particular call-off, by holding a further competition in accordance with the following procedure:
  - inviting the organisations within the Framework Agreement, that are capable of executing the subject of the contract, to submit bids electronically via the Further Competition step on the e-tendering system, with an appropriate time limit for responses, taking into account factors such as the complexity of the subject of the contract,
  - awarding each contract to the bidding organisation who has submitted the best bid on the basis of the relevant Award Criteria set out in the Framework Agreement.

## **18. SUB-CONTRACTORS**

18.1 This Rule applies to all contracts:

- (a) for works, where the value is above the OJEU works threshold, or:
- (b) for services, where the value is above the OJEU services threshold, and where the services are to be provided at sites or premises managed by the Council.

18.2 Where this Rule applies, following the decision to award the contract, but before the contract commences, the Procurement Officer must obtain the following details, from the winning supplier(s):

- The names and business addresses of any sub-contractor(s) the supplier intends to utilise in the delivery of any part of the works or services.
- The name and address of the legal representatives of each such sub-contractor.
- Information to verify each sub-contractor's compliance with the mandatory and discretionary grounds for exclusion listed in Regulation 57 of the Public Contracts Regulations 2015.

18.3 Where it is identified, as a result of the above procedure, that a sub-contractor is in breach of any of the mandatory grounds for exclusion, the supplier must be required to dismiss that sub-contractor from any involvement in the contract. The supplier should appoint a replacement sub-contractor, for which the information in 18.2 above must then be obtained and verified.

18.4 Where it is identified that a sub-contractor is in breach of a discretionary ground for exclusion, the Council may, at its discretion, require the sub-contractor to be dismissed and a suitable replacement appointed.

## **19. RECORDS**

19.1 The Public Contracts Regulations (2015) require contracting authorities to maintain the following comprehensive records of procurement activities:

- Any proposed contract details including value
- Selection decision
- Justification for use of the selected procedure
- Names of bidding organisations, both successful and unsuccessful
- Reasons for selection
- Reasons for abandoning a procedure.

19.2 Prior to the contract being formally awarded, and prior to any bidding organisation(s) being notified, the result of any competitive procurement process must be recorded in a Procurement Acceptance Report and submitted to Corporate Procurement. Corporate Procurement will maintain a register of all Procurement Acceptance Reports. Information from Procurement Acceptance Reports will also be used for the tracking of procurement savings, sustainability benefits, and other data.

19.3 Following receipt of the correctly completed Procurement Acceptance Report, Corporate Procurement will issue a notification to the Procurement Officer, and other relevant officers. Only once this notification has been issued should the contract be formally awarded.

19.4 Following the signature of the contract documents, the Procurement Officer shall ensure a copy of the signed contract particulars is retained on the e-tendering system for future reference and audit purposes.

Additional records management advice is contained in the Advice Centre.

## **20. PREVENTION OF CORRUPTION & DECLARATION OF INTERESTS**

- 20.1 Rules and regulations pertaining to the prevention of corruption are outlined in the Council's Financial Procedure Rules and must be adhered to.
- 20.2 Rules and regulations pertaining to the Declaration of Interests are outlined in the Code of Conduct for Employees within the Constitution and must be adhered to.

## **21. CONTRACT MANAGEMENT / MONITORING**

- 21.1 All contracts must have an appointed Contract Manager for the entirety of the contract. The responsible Head of Service must ensure a Contract Manager is designated prior to award.
- 21.2 Contract management, monitoring, evaluation and review must be conducted in line with any guidance detailed in the Advice Centre.

## **22. INTERNAL PROVIDERS**

- 22.1 Where an in-house provider is bidding in competition for the provision of goods, works or services, care must be taken to ensure a fair process between the in-house provider and external bidding organisations.
- 22.2 Where a Service Grouping intends to procure goods, services or works which could potentially be delivered in-house by another Service Grouping, the commissioning service shall consult with that in-house provider before proceeding to issue any Invitation to Tender, Request for Quotation, or Quick Quote.
- 22.3 If the in-house provider has the capability and capacity to meet the requirement, and can deliver this within the appropriately established budget allocated by the commissioning service, then the in-house service shall be used and no procurement exercise should take place. A procurement exercise via the e-tendering system should only be undertaken if it can be established that the in-house service cannot meet the requirement (or if it has been previously agreed by the appropriate Head of Service that external contractors may be engaged as part of an out-sourcing study or project).
- 22.4 The Procurement Officer should follow any additional guidance in the Advice Centre.

## **23. EXTERNAL BODY GRANT FUNDING**

- 23.1 Where a procurement process is funded, in whole or part, by grant funding which has been awarded to the Council by an external funding body, the Procurement Officer must ensure that any rules or grant conditions imposed

by the funding body are adhered to, in addition to the requirements of these CPRs.

23.2 Where there is any conflict between these CPRs and the rules or conditions imposed by the funding body, the stricter requirement should be followed. Where there is any doubt over which requirement should be followed, the guidance should be sought from Legal & Democratic Services before proceeding.

23.3 Where a procurement process is funded, in whole or part, by grant funding, a Grant Authorisation Form must be completed as detailed in the Advice Centre.

#### **24. REVIEW AND AMENDMENT OF CPRS**

These Contract Procedure Rules shall be reviewed and updated on an annual basis as part of the annual review of the Constitution.

## Appendix 6: Changes to Article 6, Overview and Scrutiny Arrangements

### 6.01 Terms of Reference

The Council will appoint the **Corporate** Overview and Scrutiny Management Board and Scrutiny Committees set out in the left hand column of the table below to discharge the functions conferred by section 21 of the Local Government Act 2000 (as amended) or regulations under section 32 of the Local Government Act 2000 (as amended) and under the Health and Social Care Act 2001 in relation to the matters set out in the right hand column of the same table.

Terms of reference for the Board and Committees are set within the context of the Council Plan, its Notice of Key Decisions and the overarching partnership framework namely the Sustainable Communities Strategy (SCS) and its associated delivery plan and the Council Plan.

Neither the Chairman of the Council nor members of the Executive or Executive Support Members may be members of the **Corporate** Overview and Scrutiny Management Board or a Scrutiny Committee.

Committee	Scope
<p><b>Corporate Overview and Scrutiny Management Board</b></p> <p><i>Membership</i></p> <ul style="list-style-type: none"> <li>• 26 Members of the Council including the Chairs and Vice Chairs of the 5 6 Scrutiny Committees;</li> <li>• 5 7 voting Church and Parent Governor representatives;</li> </ul>	<ol style="list-style-type: none"> <li>1 To oversee and co-ordinate the work of Overview and Scrutiny and its committees</li> <li>2 To ensure effective liaison across the work of the committees re: cross cutting issues</li> <li>3 To be strategic driver of the Overview and Scrutiny function</li> <li>4 To consider as appropriate scrutiny member involvement in regional scrutiny arrangements within the context of any regional strategies.</li> <li>5 The establishment of appropriate liaison with the Executive in the interests of achieving common aims and continuous improvement for the Council</li> <li>6 To encourage appropriate community involvement in the Overview and Scrutiny role</li> </ol>

**Corporate Overview and Scrutiny  
Management Board (continued)**

- 7 To consider the Council Plan and Notice of Key Decisions and to monitor performance against these plans
- 8 To deal with petitions in accordance with the Council's Protocol as set out in Part 5 of this Constitution
- 9 To consider the Council's annual budget
- 10 To assist the Executive in the development of the Council's annual budget and to review and scrutinise budgetary management;
- 11 To assist the Executive in ensuring the provision of efficient and effective corporate management and support arrangements.
- 12 To assist the Executive in the development of asset management.

Relevant SCS and Council Plan themes:

- Putting the Customer First
- Working with our Communities
- Effective use of Resources
- Support our People through Change

## Appendix 7: Changes to Article 6, Children and Young People’s Scrutiny Committee

Committee	Scope
<p data-bbox="188 427 785 495">Children and Young People’s Scrutiny Committee</p> <p data-bbox="188 539 368 573">Membership</p> <ul data-bbox="240 613 767 1093" style="list-style-type: none"> <li data-bbox="240 613 767 719">• 21 Members of the Council including the Chair or Vice Chair of Corporate Parenting Panel;</li> <li data-bbox="240 763 767 869">• Chair and Vice Chair of Overview and Scrutiny Management Board, ex officio;</li> <li data-bbox="240 913 767 981">• 5 7 voting church and parent governor reps</li> <li data-bbox="240 1025 767 1093">• Up to 2 non-voting co-optees to include young people</li> </ul>	<p data-bbox="809 427 1385 461">Relevant SCS and Council Plan themes</p> <ul data-bbox="861 613 1401 1014" style="list-style-type: none"> <li data-bbox="861 613 1401 719">• Children and Young People realise and maximise their potential</li> <li data-bbox="861 763 1401 869">• Children and Young People make healthy choices and have the best start in life</li> <li data-bbox="861 913 1401 1014">• A Think Family approach is embedded in our support for families</li> </ul>

## Appendix 8: Amendments to the membership and Functions of the Corporate Parenting Panel

### Part 3, A, Responsibility for Non-Executive or Council Functions

Committee	Membership	Functions
Corporate Parenting Panel	<p>21 Members of the Council including the member of the Executive or Executive Support for Children and Young People's Services; and the Chair or Vice Chair of Children and Young People's OSC.</p> <p>6 non-voting Co-opted Members including 3 school representatives and 3 representatives from other agencies.</p>	<ol style="list-style-type: none"> <li>1. To monitor and ensure the quality of services to children and young people for whom the Council has a corporate parenting responsibility ensure that the Council acts as a good corporate parent to children and young people in care and care leavers, including: <ul style="list-style-type: none"> <li>• Young people in residential care</li> <li>• Children and young people in foster care</li> <li>• Children placed for adoption</li> <li>• Children placed at home under Placement with Parents Regulations</li> <li>• Young people who are care leavers living in supported lodgings</li> <li>• Aycliffe Young people in secure services</li> <li>• Young people in custody.</li> </ul> </li>   <li>2. To engage and listen to the views of children, young people and their carers for whom the Council is the parent To undertake in conjunction with appropriate officers visits to registered children's homes in accordance with statutory requirements and to make and consider reports on such visits.</li>   <li>3. To work in partnership with other statutory agencies to drive forward improvements in care.</li>   <li>4. To act as the governing body for the Virtual School for looked after children and young people.</li>   <li>5. To act as the governing body for</li> </ol>

		Aycliffe secure services, monitoring and ensuring the quality of secure accommodation, education and care.
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**County Council**

**22 March 2017**



**Interim Arrangements for the Discharge of Functions for the period between the County Council Elections and the Reconstitution of Council Bodies**

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**Report of Corporate Management Team**

**Colette Longbottom, Head of Legal and Democratic Services**

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**Purpose of the Report**

- 1 To seek delegated authority for the discharge of County Council functions in the period between the County Council elections and the reconstitution of Council bodies.

**Background**

- 2 On 8 May 2017, with the exception of the Leader and Deputy Leader, and the Chairman and Vice-Chairman of the Council, all Members of the County Council will retire from office. In order to ensure that adequate arrangements are in place to enable urgent business to be dealt with during the period from 8 May up to the Annual Meeting of the County Council on the 24 May, the Council is recommended to delegate authority to the Chief Executive, in consultation with the Leader or Deputy Leader, and Chairman or Vice-Chairman of the Council (who remain in office until the first annual meeting of the new Council) to take any urgent action necessary in the interests of the Authority.
- 3 It is further proposed that this delegation be continued during the period from the Annual Council Meeting until the appropriate Council bodies are reconstituted and that in that period the Members to be consulted would be the new Leader or Deputy Leader, and the new Chairman or Vice-Chairman of the County Council.

**Recommendations and Reasons**

- 4 That Council agrees:
  - (a) to delegate authority to the Chief Executive, to take any urgent action necessary in the interests of the Authority from the 8 May 2017 until the Annual Council Meeting in consultation with the current Leader or Deputy Leader, and the current Chairman or Vice-Chairman;

- (b) from the Annual Council Meeting until the appropriate Council bodies are reconstituted to delegate Authority to the Chief Executive to take any urgent action necessary in the interests of the Council, and in that period the Members to be consulted are the new Leader or Deputy Leader, and the new Chairman or Vice-Chairman of the County Council.

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**Contact: Colette Longbottom Tel: 03000 269 732**

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## **Appendix 1: Implications**

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**Finance** – none specific in this report.

**Staffing** - none specific in this report.

**Risk** - none specific in this report.

**Equality and Diversity / Public Sector Equality Duty** - none specific in this report.

**Accommodation** - none specific in this report.

**Crime and Disorder** - none specific in this report.

**Human Rights** - none specific in this report.

**Consultation** - none specific in this report.

**Procurement** - none specific in this report.

**Disability Issues** - none specific in this report.

**Legal Implications** – as specified in the report.

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